

# Deformations, bions, and (de)confinement

Erich Poppitz



with Mithat Ünsal

SLAC/Stanford (2008–present)

also, work in progress  
with Mohamed Anber (Toronto)

This talk is about gauge dynamics.

There are many things one would like to understand about any gauge theory:

- does it confine?
- does it break its (super) symmetries?
- is it conformal?
- what are the spectrum, interactions...?

These are tough to address, in almost all theories.

“gauge theory space”

conventional wisdom:

**pure YM**

- formal but see [www.claymath.org/millennium/](http://www.claymath.org/millennium/)

**SUSY**

- very “friendly” to theorists  
beautiful - exact results

**QCD-like  
(vectorlike)**

- hard, leave it to lattice folks  
(a,m,V, \$)

**non-SUSY chiral  
gauge theories**

- poorly understood strong dynamics  
...(almost) nobody talks about them anymore

“gauge theory space”

“applications”:

**SUSY**

superpartner masses;  
supersymmetry breaking  
in chiral SUSY theories;  
flavor in SUSY

**QCD-like  
(vectorlike)**

W, Z-masses:  
“walking” or  
“conformal”  
technicolor

**non-SUSY chiral  
gauge theories**

extended technicolor -  
fermion mass generation;  
quark and lepton compositeness;  
& recent speculations of W, Z, t masses by monopole condensation

# “gauge theory space”

**SUSY**



One of the most important “applications” of supersymmetry is to teach us about the many “weird” things gauge field theories could “do” - often very much unlike QCD:

**QCD-like  
(vectorlike)**

- massless monopole/dyon condensation causing confinement and chiral symmetry breaking
- “magnetic free phases” - dynamically generated gauge fields and fermions
- **chiral-nonchiral dualities**
- last but not least: gauge-gravity dualities made concrete...

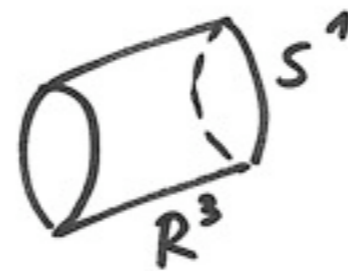
**non-SUSY chiral  
gauge theories**

***This talk is another example of use of observations first made in SUSY and string theory to non-SUSY gauge dynamics.***

What I'll talk about applies to the entire "theory space" above...

The theme of my talk is about inferring properties of infinite-volume theory by studying (arbitrarily) small-volume dynamics.

The small volume may be



← most of this talk

or



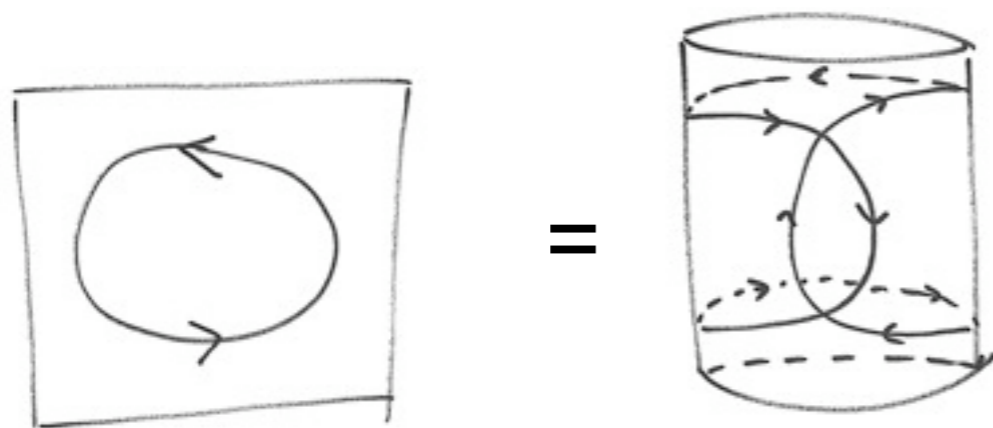
of characteristic size "L"

... isn't this crazy?

# To put my talk in context, some relevant history:

Eguchi and Kawai (1982) showed that the infinite set of loop (Schwinger-Dyson) equations for Wilson loops in pure Yang-Mills theory is identical in small- $V$  and infinite- $V$  theory, to leading order in  $1/N$ , **provided**:

- “center-symmetry” unbroken
- translational symmetry unbroken (see Yaffe, 1982)



=

+  $O(1/N)$

**provided**

topologically nontrivial  
(winding) Wilson loops  
have vanishing  
expectation value  
(= unbroken center)

expectation value of any  
Wilson loop at infinite- $L$

expectation value of (folded)  
Wilson loop at small- $L$

**“EK reduction” or “large- $N$  reduction” or “large- $N$  volume-independence”**

Note: this is an **exact** result in QFT - so long as unbroken center.

It could be potentially exciting, since:

- 1) **simulations may be cheaper** (use single-site lattice?)
- 2) **raises theorist’s hopes** (that small- $L$  easier to solve?)

# To put my talk in context, some relevant history:

From a “modern” point of view EK reduction is a large- $N$  orbifold with respect to the group of translations.

Kovtun, Unsal, Yaffe (2004)

Volume-independence viewed as an orbifold helps establish that VEVs and correlators of operators that are center-neutral and carry momenta quantized in units of  $1/L$  (in compact direction) are the same on, say



as in infinite- $L$  theory, to leading order in  $1/N$ .

Thus, a working example of EK would be good for

- calculating vevs (symmetry breaking)
  - even if all dimensions small
- calculating spectra (for generic theories/reps)
  - need at least one large dimension



# To put my talk in context, some relevant history:

Some intuition of how EK reduction works (note EK valid at any coupling).

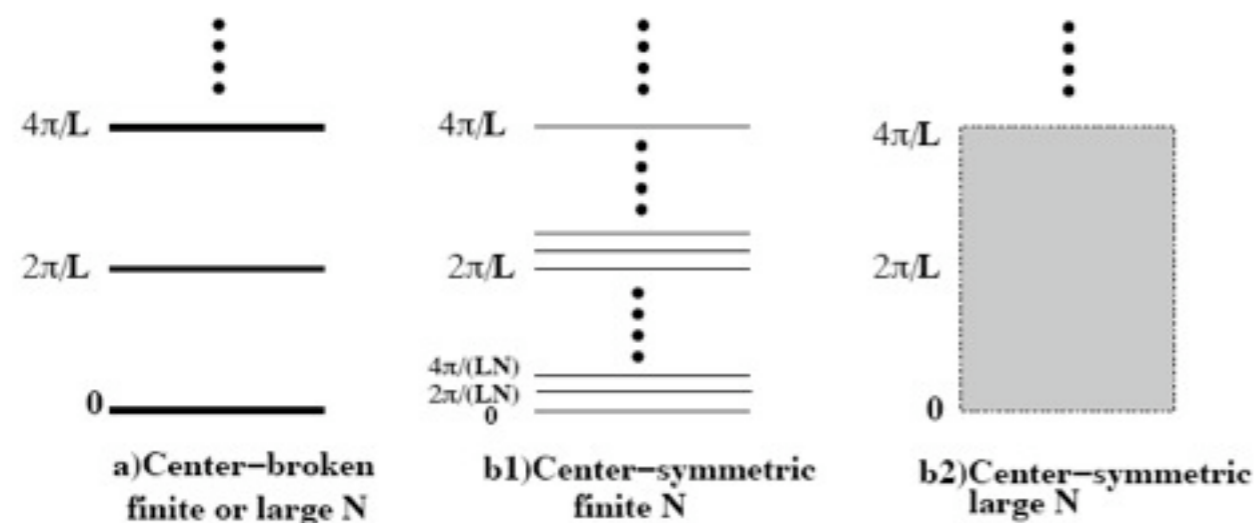
**in perturbation theory:**

from spectra (& Feynman graphs)  
in appropriate background

or

**at strong coupling:**

- use lattice strong-coupling expansion
- use gauge-gravity duality:

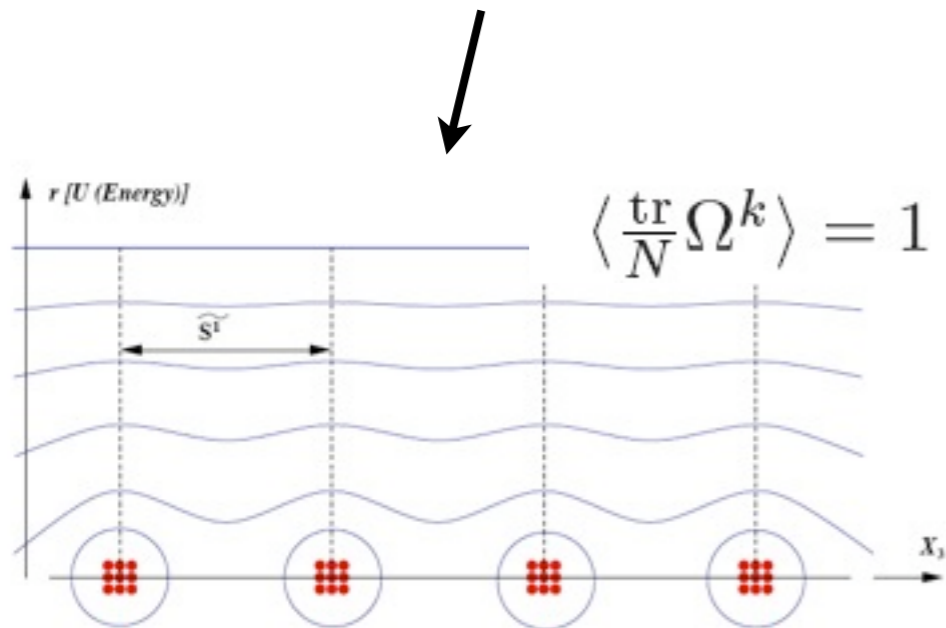


an exact correspondence for large- $N$   
 $N=4$  SYM - a conformal field theory;  
since EK also exact, it must be that  
non-winding Wilson loops & appropriate  
correlators are insensitive to box  
**if** center-symmetric vacuum

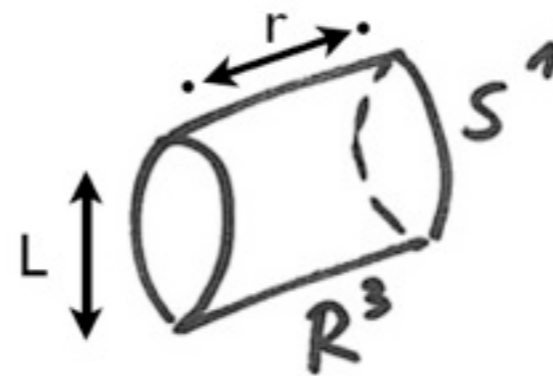
# To put my talk in context, some relevant history:

How does volume independence show up in the gravity duals?

gravity dual of center-broken vacuum

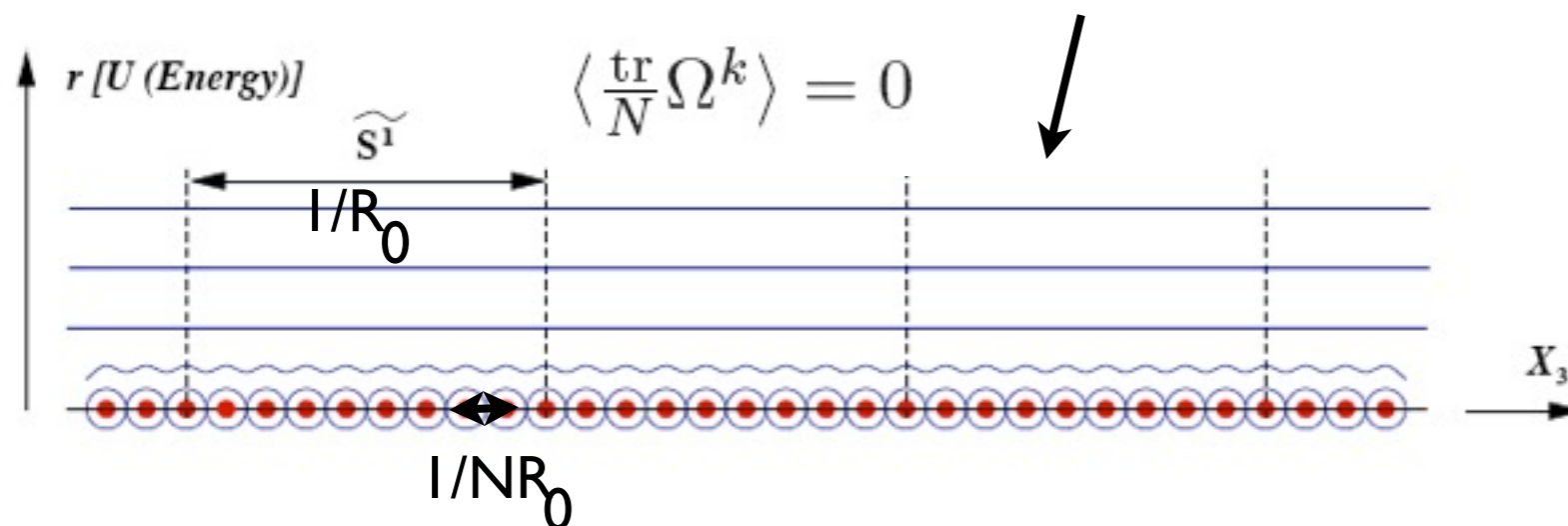


$V(r) \sim 1/r$  : CFT result obtains in center-symmetric vacuum for any  $r$  ( $<L$  or  $>L$ ) insensitive to box size



Unsal, EP 2010

gravity dual of center-symmetric vacuum



# To put my talk in context, some relevant history:

However, Bhanot, Heller, Neuberger (1982) noticed immediate problem with EK in pure YM, QCD...:

center symmetry breaks for  $L < L_c$  (e.g. deconfinement transition )

and thus invalidates EK reduction

Older proposed remedies: e.g., Gonzalez-Arroyo, Okawa (1982) - TEK... + others later argued to have problems (Bringoltz/Sharpe 2009) (some recent “twists” on TEK ?)

**A more recent cure is argued to allow reduction valid to arbitrarily small L (e.g., single-site) if one adds either**

**- periodic adjoint fermions aka “twisted partition function”**  $\text{tr} e^{-\beta H} (-1)^F$   
(in SUSY = Witten index)

**or**

**- appropriate double-trace deformations**

Unsal, Yaffe 2008

# To put my talk in context, some relevant history:

Unsal,  
Yaffe  
2008

**Remedies proposed: reduction valid to arbitrarily small  $L$  (single-site) if:**

***periodic adjoint fermions*** (more than one Weyl) - no center breaking, so reduction holds at all  $L$



used for current **lattice studies** of “minimal walking technicolor”

is 4 ...3,5... Weyl adjoint theory conformal or not?

small- $L$ (=1) large- $N$  ( $\sim 20$  or more...) simulations (2009-)  
Hietanen-Narayanan; Bringoltz-Sharpe; Catterall et al

small- $N$  large- $L$  simulations (2007-)  
Catterall et al; del Debbio et al; Hietanen et al...

... who “wins”?

# To put my talk in context, some relevant history:

Unsal,  
Yaffe  
2008

**Remedies proposed: reduction valid to arbitrarily small  $L$  (single-site) if:**

**periodic adjoint fermions** (more than one Weyl) - no center breaking, so reduction holds at all  $L$



used for current **lattice studies** of “minimal walking technicolor”

is 4 ...3,5... Weyl adjoint theory conformal or not?

small- $L(=1)$  large- $N$  ( $\sim 20$  or more...) simulations (2009-)  
Hietanen-Narayanan; Bringoltz-Sharpe; Catterall et al

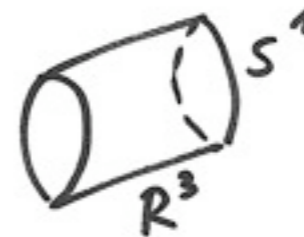
small- $N$  large- $L$  simulations (2007-)  
Catterall et al; del Debbio et al; Hietanen et al...

... who “wins”?

**double-trace deformations:**  
**deform measure to prevent center breaking at infinite- $N$ , deformation does not affect** (connected correlators of “untwisted”) **observables**

**THIS TALK:**

**theoretical studies**



Unsal;  
Unsal-Yaffe;  
Unsal-Shifman;  
Unsal-EP 2007-

fix- $N$ , take  $L$ -small: **semiclassical studies of confinement** due to novel strange “oddball” (nonselfdual) topological excitations, whose nature depends on fermion content

- for vectorlike or chiral theories, with or without supersymmetry
- a **complementary regime to that of volume independence, which requires infinite  $N$  - a (calculable!) shadow of the 4d “real thing”.**

# First, the key players:

3d Polyakov model & “monopole-instanton”-induced confinement

Polyakov, 1977

“monopole-instantons” on  $R^3 \times S^1$

K. Lee, P. Yi, 1997

P. van Baal, 1998

the relevant index theorem

Nye, Singer, 2000

Unsal, EP, 2008

center-symmetry on  $R^3 \times S^1$  - adjoint fermions or double-trace deformations

Shifman, Unsal, 2008

Unsal, Yaffe, 2008

“bions”, “triplets”, “quintets”... - new non-self-dual topological excitations and confinement

Unsal, 2007

Unsal, EP, 2009

# First, the key players:

## 3d Polyakov model & “monopole-instanton”-induced confinement

Polyakov, 1977

continuum picture: 3d Georgi-Glashow

[on the lattice - compact U(1)]

$$L \sim \frac{1}{g_3^2} (F_{\mu\nu}^a F_{\mu\nu}^a + D_\mu \phi^a D^\mu \phi^a) \quad \mu, \nu = 1, 2, 3$$
$$[A_\mu] = [\phi] = 1 \quad [g_3^2] = -1$$

due to some Higgs potential  $\langle \phi \rangle = (0, 0, v)$

$SU(2) \xrightarrow{v} U(1)$  at low energies,  $E \ll m_W \sim v$

free U(1) theory  $A_\mu^3 \equiv A_\mu$

$L_{\text{eff}} = \frac{1}{g_3^2} F_{\mu\nu}^2 + \dots$  “...” are perturbatively calculable & not very interesting



$$B_\mu = \epsilon_{\mu\nu\lambda} F_{\nu\lambda}$$

“magnetic field” - by Bianchi identity, it is also a topologically conserved current of **“emergent topological U(1) symmetry”** responsible for conservation of magnetic charge

$$B_\mu = g_3^2 \partial_\mu \sigma$$

3d photon dual to scalar (as one polarization only)

$$\partial_\mu B_\mu = 0$$

Bianchi identity

Abelian duality



$$\partial_\mu^2 \sigma = 0$$

equation of motion

$$L_{\text{eff}} = \frac{1}{g_3^2} F_{\mu\nu}^2 + \dots$$

$$L_{\text{eff}} = g_3^2 (\partial_\mu \sigma)^2 + \dots$$

topological U(1) symmetry = shift of “dual photon”

a rather “*boring-boring*” duality - if not for the existence of monopoles:

monopoles  $\partial_\mu B_\mu =$  quantized magnetic charge - shift symmetry broken

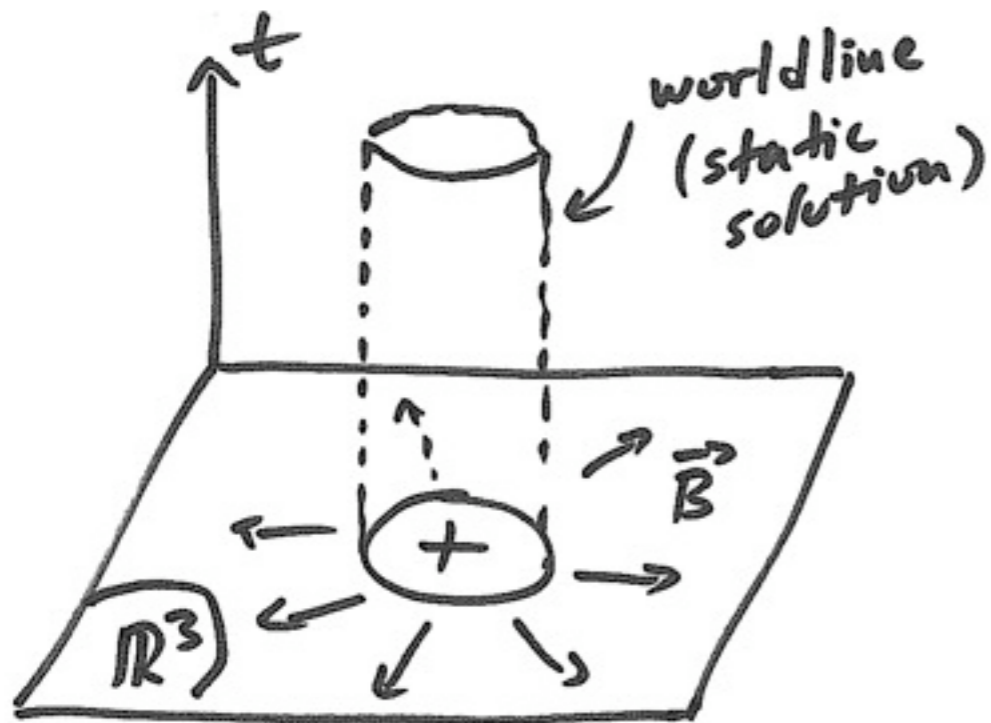
- **dual photon gains mass & electric charges confined**

**how?**

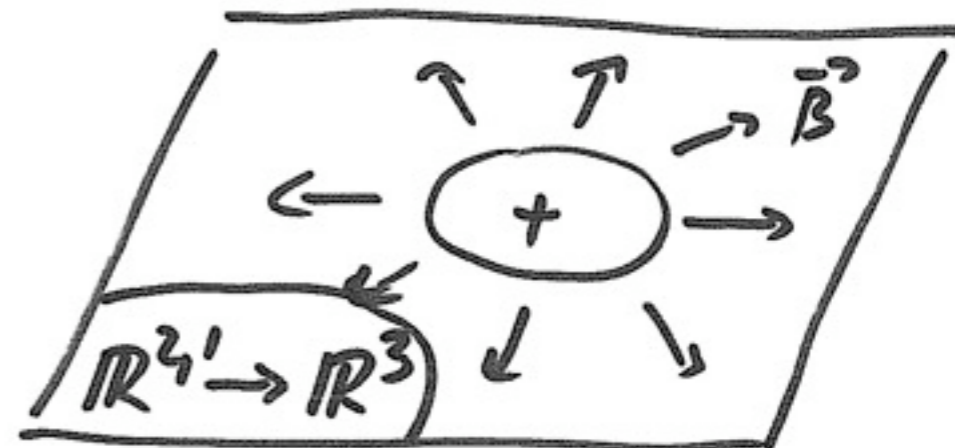
...in pictures:



“t Hooft-Polyakov monopole” - static finite energy solution of Georgi-Glashow model in 4d



get Euclidean 3d by  
“forgetting time”



solution of Euclidean eqns. of motion  
of finite action: a “monopole-instanton”

$$E_M = \frac{4\pi v}{g_4^2}$$

$$S_0 = \frac{4\pi v}{g_3^2}$$

$$e^{-S_0} \rightarrow 0$$

$$g_3^2/v \rightarrow 0$$

M-M\* pairs give exponentially suppressed (at weak coupling)  
“semiclassical” contributions to the vacuum functional

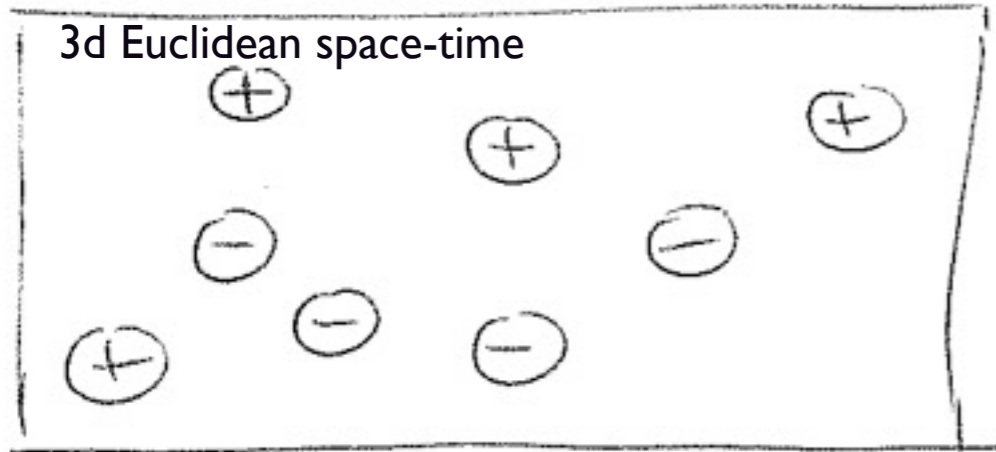
**vacuum “is” a dilute monopole-antimonopole plasma**

number of M's per unit volume  $\sim v^3 e^{-S_0}$

(analogous to B+L violation in electroweak model; at T=0 exponentially small)

**vacuum is a dilute M-M\* plasma -**

**interacting, unlike instanton gas in 4d (in say, electroweak theory)**



physics is that of Debye screening

analogy:

electric fields are screened in a charged plasma (“Debye mass for photon”), so in the monopole-antimonopole plasma, the dual photon obtains mass from screening of magnetic field:

$$\mathcal{L}_{\text{eff}} = g_3^2 (\partial\sigma)^2 + (\#) v^3 e^{-S_0} (e^{i\sigma} + e^{-i\sigma}) + \dots$$

**“(anti-)monopole operators”**

aka **“disorder operators”** - not locally expressed in terms of original gauge fields

(Kadanoff-Ceva; 't Hooft - 1970s)

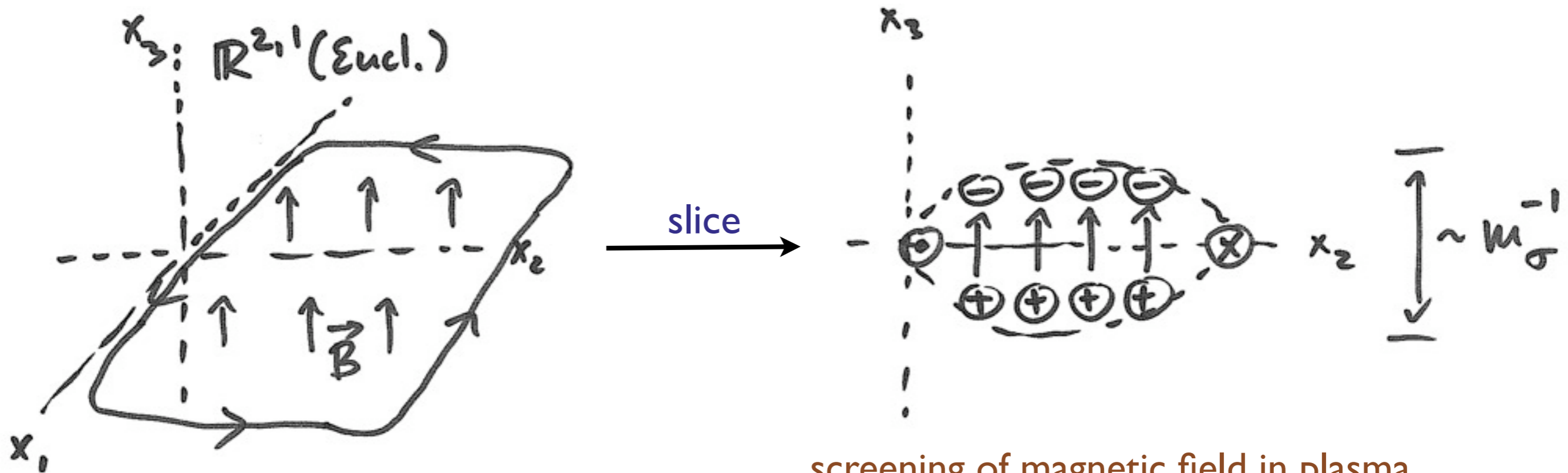
also by analogy with Debye mass:

dual photon mass<sup>2</sup> ~ M-M\* plasma density

$$m_\sigma \sim v e^{-S_0/2} = v e^{-\frac{4\pi v}{2g_3^2}}$$

now, dual photon mass  
~ confining string tension:

in pictures:



screening of magnetic field in plasma

= Wilson loop area law:

$$\langle e^{i \oint A dx} \rangle \sim e^{- (\text{Area}) m_\sigma g_3^2}$$

Minkowski space interpretation of Wilson loop

confining flux tube: **tension**<sup>-1</sup> ~ **thickness** ~ **inverse dual photon mass**

# First, the key players:

3d Polyakov model & “monopole-instanton”-induced confinement

Polyakov, 1977

“monopole-instantons” on  $R^3 \times S^1$

K. Lee, P. Yi, 1997

P. van Baal, 1998

the relevant index theorem

Nye, Singer, 2000

Unsal, EP, 2008

center-symmetry on  $R^3 \times S^1$  - adjoint fermions or double-trace deformations

Shifman, Unsal, 2008

Unsal, Yaffe, 2008

“bions”, “triplets”, “quintets”... - new non-self-dual topological excitations and confinement

Unsal, 2007

Unsal, EP, 2009

# First, the key players:

**we want to go to 4d - by  
“growing” a compact dimension:**

$$S^1 : x^4 \sim x^4 + L$$

“monopole-instantons” on  $R^3 \times S^1$

K. Lee, P. Yi, 1997  
P. van Baal, 1998

$A_4$  is now an adjoint 3d scalar Higgs field  $\partial_4 + A_4 \longrightarrow \frac{2\pi n}{L} + A_4$

but it is a bit unusual -  
a compact Higgs field:

$$\langle A_4 \rangle \sim \langle A_4 \rangle + \frac{2\pi}{L}$$

such shifts of  $A_4$  vev absorbed into shift of KK number “n”  $A_4 \rightarrow A_4 + \partial_4 \left( \frac{2\pi x_4}{L} \right)$   
“large” gauge transform

thus, natural

scale of “Higgs vev” is

$$\langle A_4 \rangle \sim \frac{\pi}{L} \text{ leading to } SU(2) \xrightarrow{\frac{1}{L}} U(1)$$

(clearly, semiclassical and weakly coupled if  $L \ll$  inverse strong scale)

$$W = P e^{i \int_{S^1} A_4 dx^4}$$

if the expectation values are

$$\langle A_4^3 \rangle \sim \frac{\pi}{L}$$

$$\langle W \rangle = \begin{pmatrix} e^{i\pi/2} \\ e^{-i\pi/2} \end{pmatrix}$$

then

$$\text{tr} \langle W \rangle = 0$$

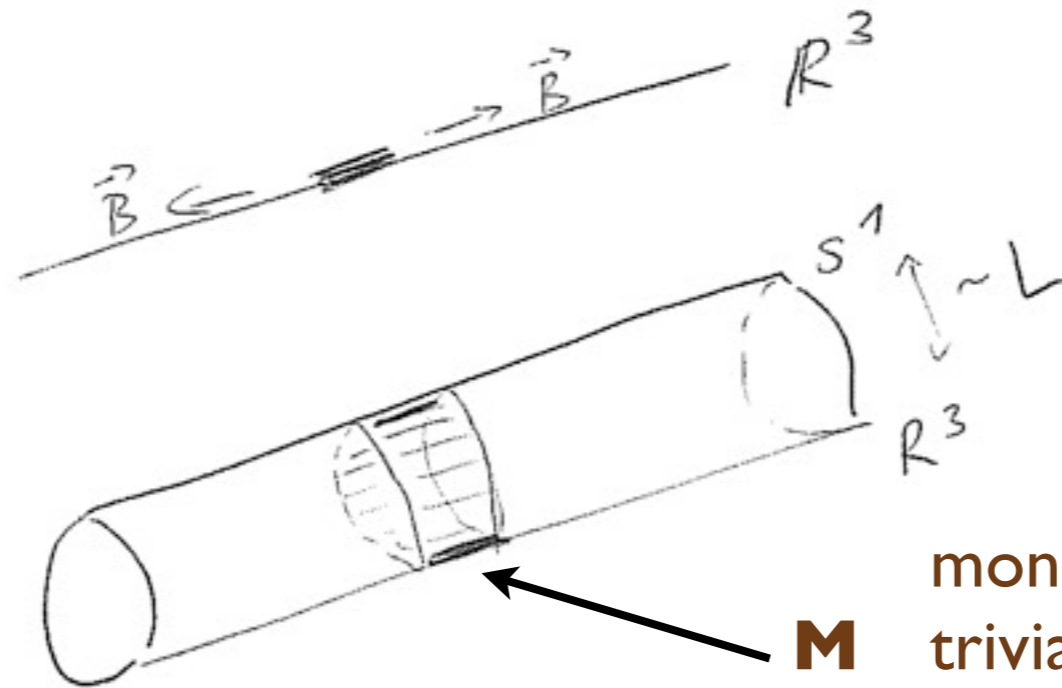
**center symmetry is preserved**

$$\text{tr} W \rightarrow e^{i\pi} \text{tr} W \quad \text{for SU(N): } e^{i \frac{2\pi}{N}}$$

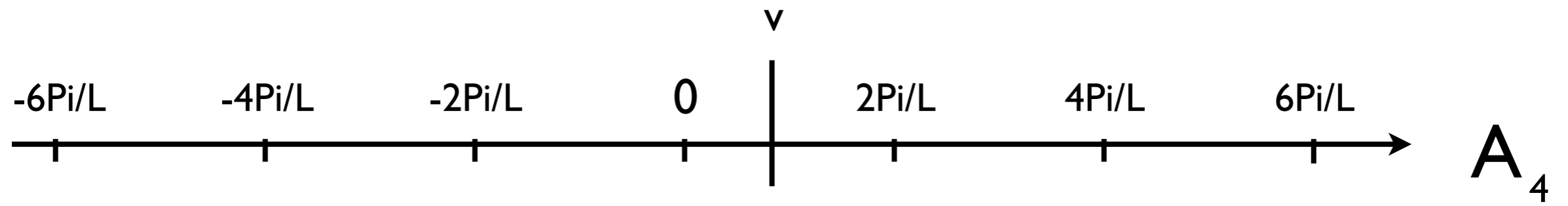
we are interested in **unbroken center** (or “almost unbroken”):

where  $\langle \text{tr} W \rangle = 0$  and SU(2) broken to U(1) at scale  $1/L$

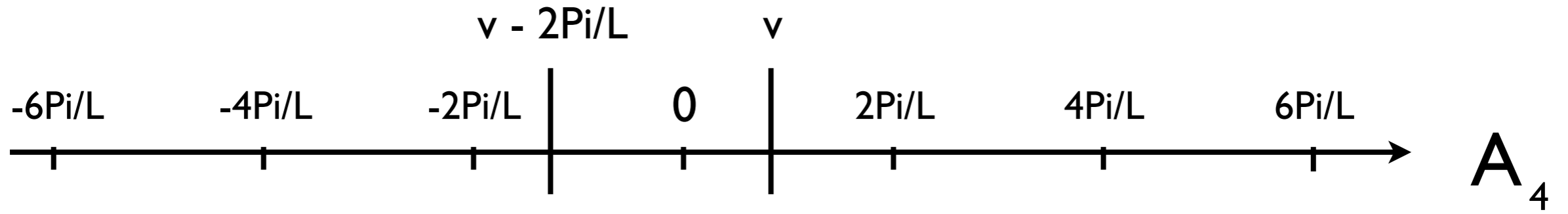
at small L, physics semiclassical and there are monopole-instantons, for example:



**M** monopole  
trivially  
embedded in 4d

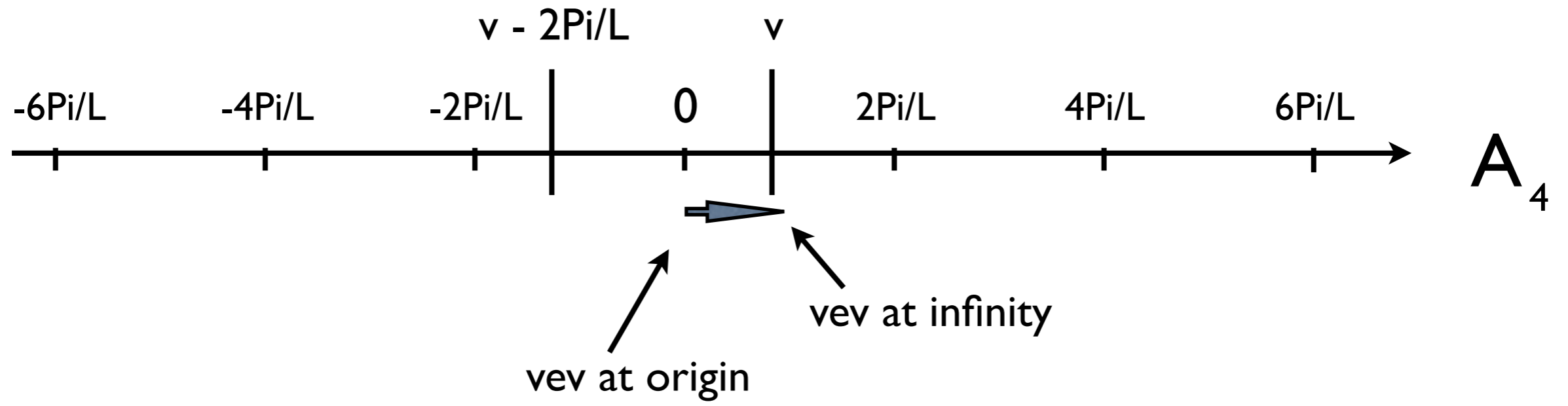


gauge equivalent vevs





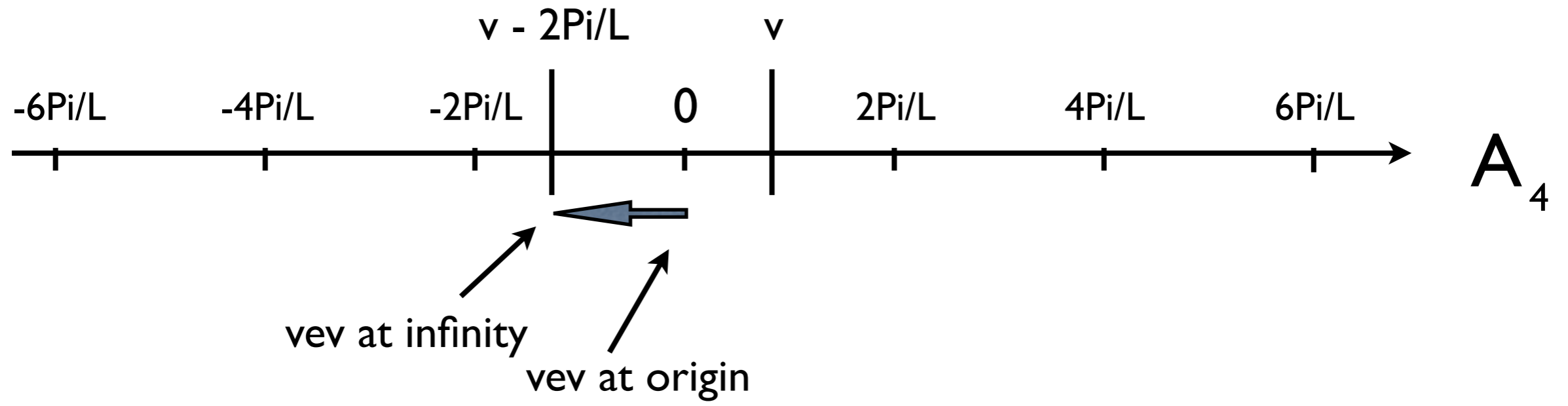
gauge equivalent vevs



$A_4$

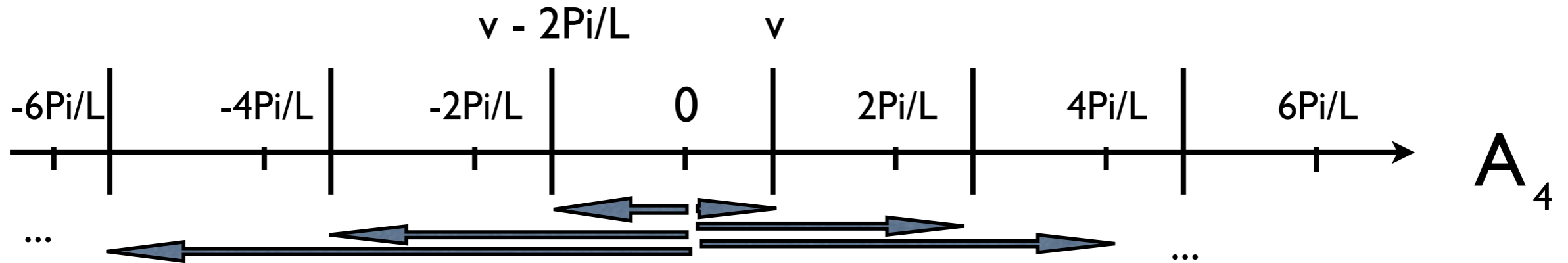
monopole-instanton of action  $\sim v/g_3^2$

gauge equivalent vevs



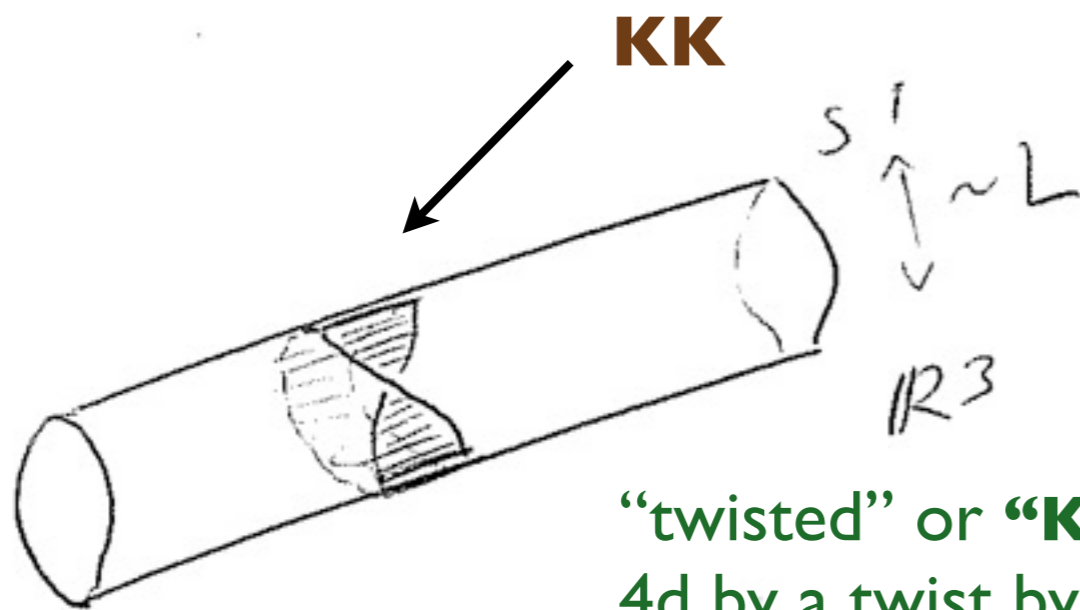
monopole-instanton of action  $\sim |2\pi/L - v|/g^2_3$

gauge equivalent vevs



monopole-instanton tower; action  $\sim |2k\pi/L - v|/g^2$

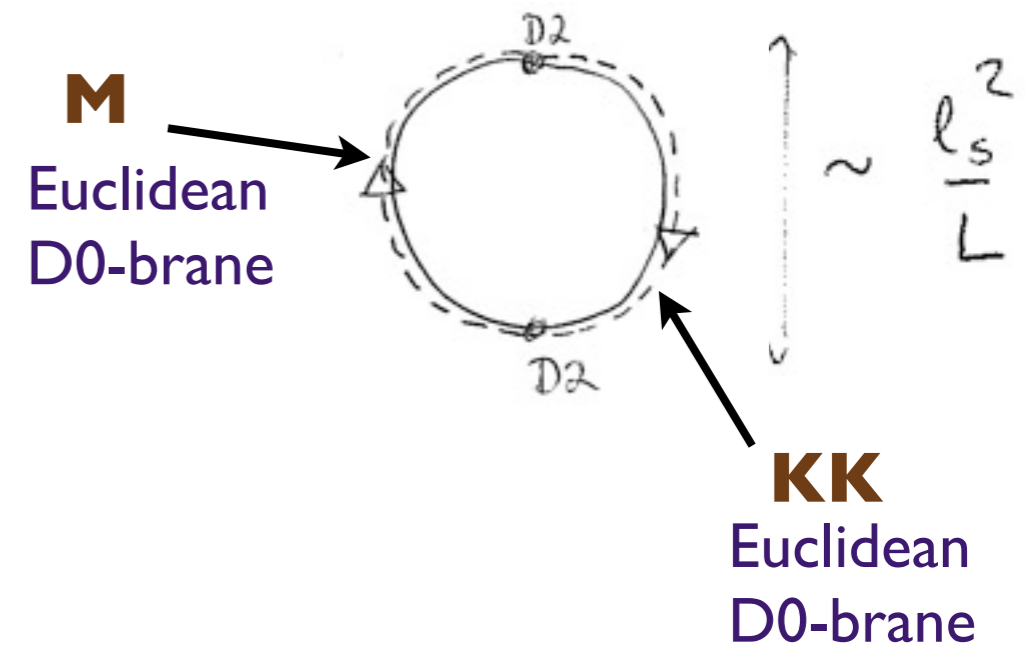
the lowest action member of the tower can be pictured like this (as opposed to the no-twist):



“twisted” or “**Kaluza-Klein**”: monopole embedded in 4d by a twist by a “gauge transformation” periodic up to center - in 3d limit not there! (infinite action)

**KK** discovered by Kimyeong Lee, Piljin Yi, 1997, as  
 “Instantons and monopoles on partially compactified D-branes”  
 - can also understand in QFT, as alluded to above  
 - possibility mentioned - not studied - by Kronfeld, Schierholz, Wiese 1987

	magnetic	topological	suppression
M	+1	1/2	$e^{-S_0}$
KK	-1	1/2	$e^{-S_0}$
BPST	0	1	$e^{-2S_0}$



lowest action M & KK are both self-dual objects, of opposite magnetic charges

+ their anti-“particles”

note, BPST instanton “ = M+KK ” (also see P. van Baal, 1998)

M & KK have 't Hooft suppression given by:

$$e^{-S_0} = e^{-\frac{4\pi v}{g_3^2}} = e^{-\frac{4\pi^2}{Lg_3^2}} = e^{-\frac{4\pi^2}{g_4^2(L)}}$$

↑
↑  
 center-symmetric vev      coupling matching

$$SU(N) : e^{-S_0} = e^{-\frac{8\pi^2}{g_4^2(L)N}}$$

↓  
 ( large- $N$  survive! )

M & KK have, in SU(N), 1/N-th of the 't Hooft suppression factor aka:  
 “fractional instantons”, “instanton quarks”, “zindons”, “quinks”, “instanton partons”... [collected by D. Tong]

**Next**, to understand the role M, KK, M\* & KK\* play in various theories of interest, need to know what happens to the operators they induce when there are fermions in the theory.

# First, the key players:

3d Polyakov model & “monopole-instanton”-induced confinement

Polyakov, 1977

“monopole-instantons” on  $R^3 \times S^1$

K. Lee, P. Yi, 1997

P. van Baal, 1998

the relevant index theorem

Nye, Singer, 2000

Unsal, EP, 2008

center-symmetry on  $R^3 \times S^1$  - adjoint fermions or double-trace deformations

Shifman, Unsal, 2008

Unsal, Yaffe, 2008

“bions”, “triplets”, “quintets”... - new non-self-dual topological excitations and confinement

Unsal, 2007

Unsal, EP, 2009

# First, the key players:

## the relevant index theorem

Nye, Singer, 2000  
Unsal, EP, 2008

- for some theories the answer for the number of zero modes in M or KK background had been guessed -  
*correctly, e.g. in SUSY YM - Aharony, Hanany, Intriligator, Seiberg, Strassler, 1997*
- while studying ISS(henker) proposal for SUSY breaking model [SU(2)+three-index symmetric tensor Weyl fermion] Unsal and I needed a general index theorem
- we found this:

## An $L^2$ -Index Theorem for Dirac Operators on $S^1 \times \mathbb{R}^3$

Tom M. W. Nye and Michael A. Singer

where, in APPENDIX A. ADIABATIC LIMITS OF  $\eta$ -INVARIANTS

we found: 
$$\text{ind} (D_{\mathbb{A}}^+) = \int_X \text{ch}(\mathbb{E}) + \frac{1}{\mu_0} \sum_{\mu} \epsilon_{\mu} c_1(E_{\mu}) [S_{\infty}^2]$$

$$= \int_X \text{ch}(\mathbb{E}) - \frac{1}{2} \bar{\eta}_{\text{lim}}$$

(last formula in paper)

two obvious questions:

1.) where does this come from?

2.) what number is it equal to in a given topological background (M, KK...)

& how does it depend on ratio of radius to holonomy?



for answers & more

see M. Unsal, EP

0812.2085

like on  $R^3$  Callias  $\xleftarrow{\text{physicist derivation}}$  E. Weinberg, 1970s, but on  $R^3 \times S^1$ ,  
so must incorporate anomaly equation, some interesting effects

**For this talk only consider 4d  $SU(2)$  theories  
with  $N_W$  adjoint Weyl fermions**

“applications”:

$N_W=1$  is  
 $N=1$  SUSY YM

$N_W=4$

- “minimal walking technicolor”
- happens to be  $N=4$  SYM without the scalars

M KK M\* KK\* each have  $2N_W$  zero modes

disorder operators:

**M:**

$$e^{-S_0} e^{i\sigma} (\lambda\lambda)^{N_W}$$

**KK:**

$$e^{-S_0} e^{-i\sigma} (\lambda\lambda)^{N_W}$$

**M\*:**

$$e^{-S_0} e^{-i\sigma} (\bar{\lambda}\bar{\lambda})^{N_W}$$

**KK\*:**

$$e^{-S_0} e^{i\sigma} (\bar{\lambda}\bar{\lambda})^{N_W}$$

where:

$$(\lambda\lambda)^{N_W} = \det_{I,J} \lambda_{\alpha I}^a \lambda_{\beta J}^a e^{\alpha\beta}$$

$\uparrow$   $SU(N_W)$        $\uparrow$   $SL(2, \mathbb{C})$

$\swarrow$   $SU(2)$

remarks:

- operator due to  $M+KK$  = ‘t Hooft vertex; independent of dual photon
- “our” index theorem interpolates between 3d Callias and 4d APS index thms.

# First, the key players:

3d Polyakov model & “monopole-instanton”-induced confinement

Polyakov, 1977

“monopole-instantons” on  $R^3 \times S^1$

K. Lee, P. Yi, 1997

P. van Baal, 1998

the relevant index theorem

Nye, Singer, 2000

Unsal, EP, 2008

center-symmetry on  $R^3 \times S^1$  - adjoint fermions or double-trace deformations

Shifman, Unsal, 2008

Unsal, Yaffe, 2008

“bions”, “triplets”, “quintets”... - new non-self-dual topological excitations and confinement

Unsal, 2007

Unsal, EP, 2009

# First, the key players:

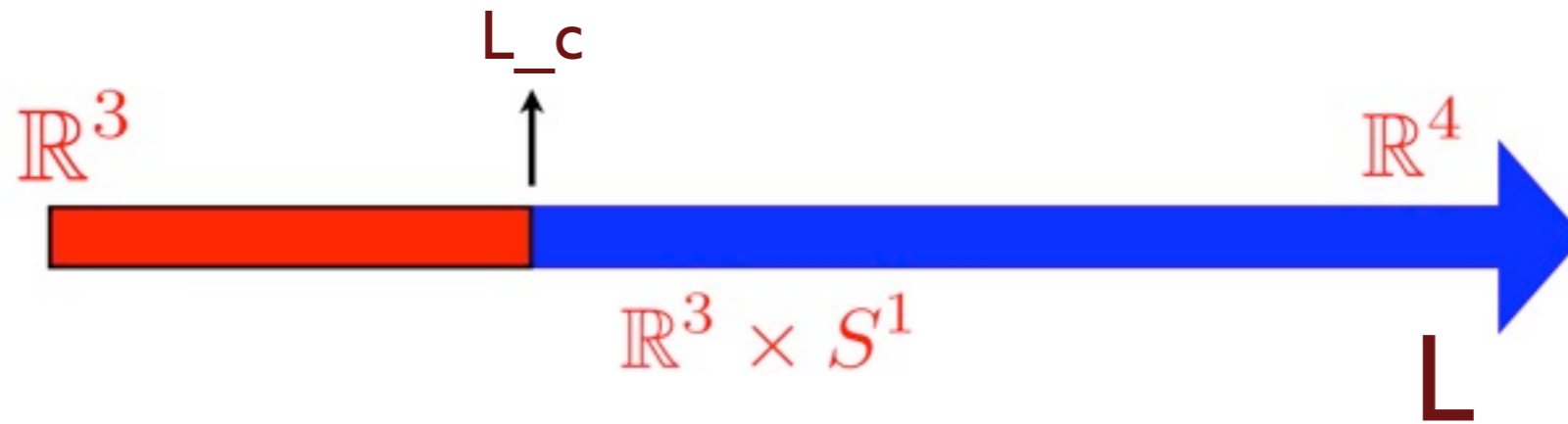
- Abelianization occurs only if there is a nontrivial holonomy (i.e.,  $A_4$  has vev)

$$\langle A_4 \rangle \sim \frac{\pi}{L}$$

- upon thermal circle compactifications, gauge theories with fermions do not Abelianize: center symmetry is broken at small circle size - transition to a deconfining phase -  $A_4 = 0$ ,  $\langle \text{tr} W \rangle \neq 0$  - deconfinement - at high-T, 1-loop  $V_{\text{eff}}$   
(Gross, Pisarski, Yaffe, early 1980s)

center-symmetry on  $R^3 \times S^1$  - adjoint fermions or  
double-trace deformations

Shifman, Unsal, 2008  
Unsal, Yaffe, 2008



to ensure calculability at small  $L$  and smooth connection to large  $L$  in the sense of center symmetry: *can one find ways to avoid phase transition?*

I. non-thermal compactifications - periodic fermions  
 (“twisted partition function”)

- with  $N_W > 1$  adjoint fermions center symmetry preserved (Unsal, Yaffe 2007) as well as with other, “exotic” fermion reps (Unsal, EP 2009)
- in many supersymmetric theories, can simply choose center-symmetric vev

II. add double-trace deformations: force center symmetric vacuum at small  $L$  (also Shifman, Unsal 2008) - connection to large- $N$  volume independence

**In what follows, I’ll assume center-symmetric vacuum – due to either I. or II.** - will explicitly discuss only theory where center symmetry is naturally preserved at small  $L$  (I.)

# First, the key players:

3d Polyakov model & “monopole-instanton”-induced confinement

Polyakov, 1977

“monopole-instantons” on  $R^3 \times S^1$

K. Lee, P. Yi, 1997  
P. van Baal, 1998

the relevant index theorem

Nye, Singer, 2000  
Unsal, EP, 2008

center-symmetry on  $R^3 \times S^1$  - adjoint fermions or double-trace deformations

Shifman, Unsal, 2008  
Unsal, Yaffe, 2008

“bions”, “triplets”, “quintets”... - new non-self-dual topological excitations and confinement

Unsal, 2007  
Unsal, EP, 2009

# First, the key players:

Now ready to study the dynamics of theories with massless fermions on a small circle

in a vacuum with  $A_4$  vev, Abelianization:

- in  $SU(2)$ : (dual) photon massless + fermion components w/out mass from vev (neutral)
- monopoles + KK monopoles are the basic topological excitations

**is there magnetic field screening in the vacuum?**

the answer would appear to be “no”:

M and KK have fermion zero modes

monopole operators do not generate potential for dual photon

**so, no screening & no confinement... ?**

“bions”, “triplets”, “quintets”... - new non-self-dual  
topological excitations and confinement

Unsal, 2007

Unsal, EP, 2009

**but take a look at the symmetries first:**

as an example, again  
consider 4d SU(2) theories  
with  $N_W$  adjoint Weyl fermions

classical global chiral symmetry is  
 $SU(N_W) \times U(1)$

but 't Hooft vertex  $(\lambda\lambda)^{2N_W} e^{-\frac{8\pi^2}{g_4^2}}$  only preserves  $\mathbb{Z}_{4N_W}: \lambda \rightarrow e^{i\frac{2\pi}{4N_W}} \lambda$

so, quantum-mechanically we have only  $SU(N_W) \times \mathbb{Z}_{4N_W}$  exact chiral symmetry

now **M**, **KK(+\*)** operators all look like:  $e^{-S_0} e^{i\sigma} (\lambda\lambda)^{N_W}$   
hence  $(\lambda\lambda)^{N_W} \xrightarrow{\mathbb{Z}_{4N_W}} e^{i\pi} (\lambda\lambda)^{N_W}$

invariance of **M**, **KK(+\*)** operators under exact chiral symmetry means that

**dual photon must transform under the exact chiral symmetry**

i.e., topological shift symmetry is intertwined with chiral symmetry:

$$\mathbb{Z}_{4N_W}: \sigma \rightarrow \sigma + \pi$$

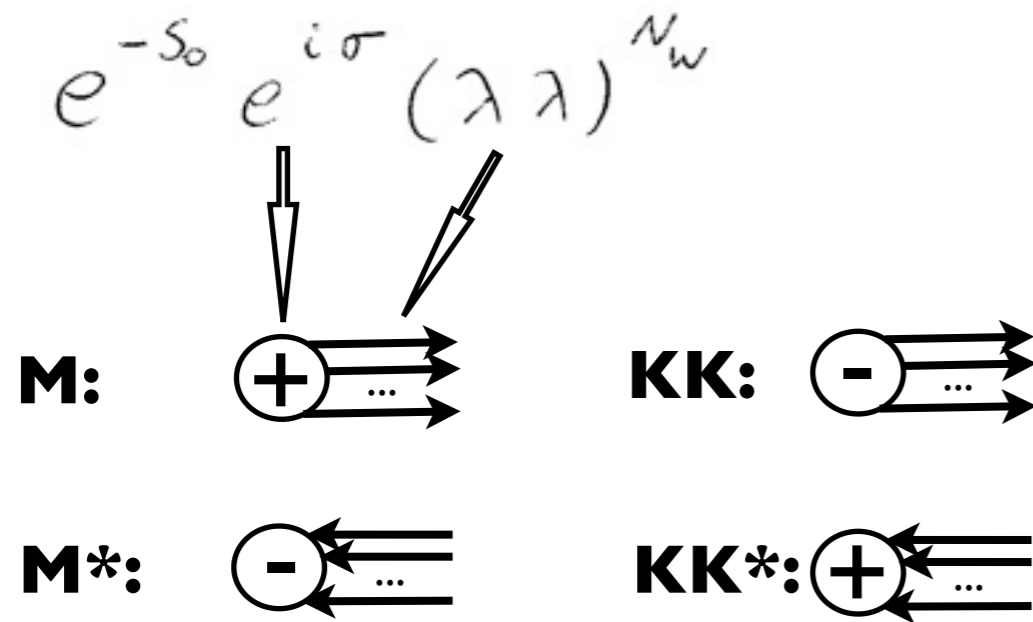


$$\sigma \rightarrow \sigma + \pi \quad \cancel{\cos \sigma} \quad \cos(2\sigma) \quad \checkmark$$

so the exact chiral symmetry allows a potential - **but what is it due to?**

to generate  $\cos(2\sigma)$  disorder operator, topological excitations must have

- i. magnetic charge 2
- ii. no fermion zero modes



**M + KK\* bound state?** (Unsal, 2007)

- same magnetic charge  $\sim 1/r$ -repulsion
- fermion exchange  $\sim \log(r)$ -attraction

**M + KK\* = B - magnetic "bion"**

size  $\sim L/g_4^2(L) \gg L$  (our "lattice spacing")



$$e^{-2S_0} (e^{2i\sigma} + e^{-2i\sigma})$$

**dual photon mass is induced by magnetic "bions" - the leading cause of confinement in SU(N) with adjoints at small L (incl. SYM)**



to summarize, in QCD(adj),

**M + KK\* = B** - magnetic “bions” -

-carry magnetic charge

-no topological charge (non self-dual)

(locally 4d nature crucial: no KK in 4d)

-generate “Debye” mass for dual photon

main tools used:

- intertwining of topological shift symmetry & chiral symmetry
- index theorem

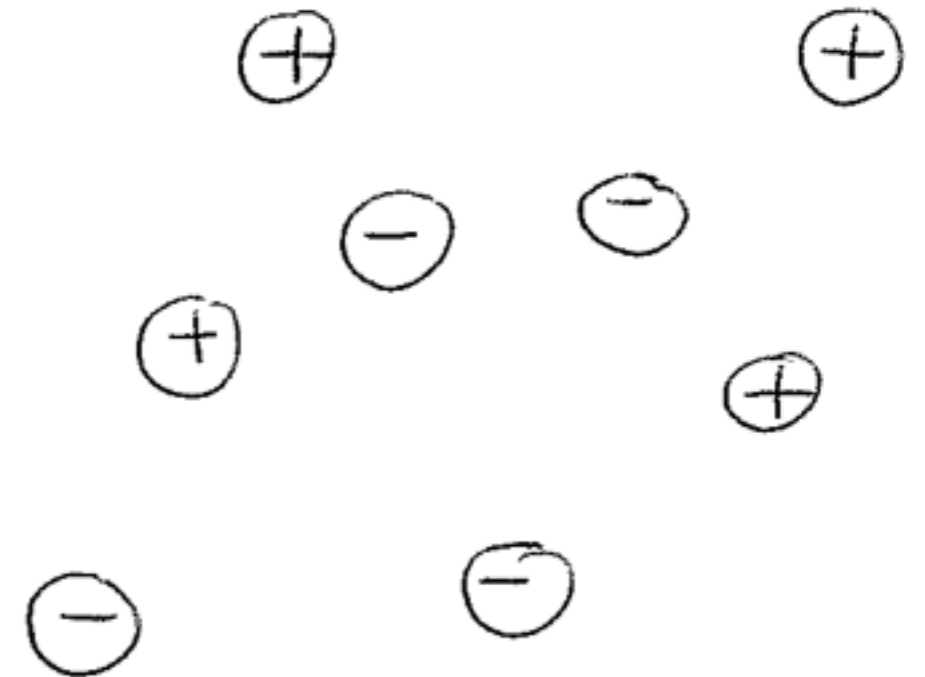
topological objects generating magnetic screening depend on massless fermion content (not usually thought that fermions relevant)

to summarize, in QCD(adj),

### **3d pure gauge theory vacuum monopole plasma** Polyakov 1977

**M + KK\* = B** - magnetic “bions” -

- carry magnetic charge
- no topological charge (non self-dual)  
(locally 4d nature crucial: no KK in 4d)
- generate “Debye” mass for dual photon



main tools used:

- intertwining of topological shift symmetry & chiral symmetry
- index theorem

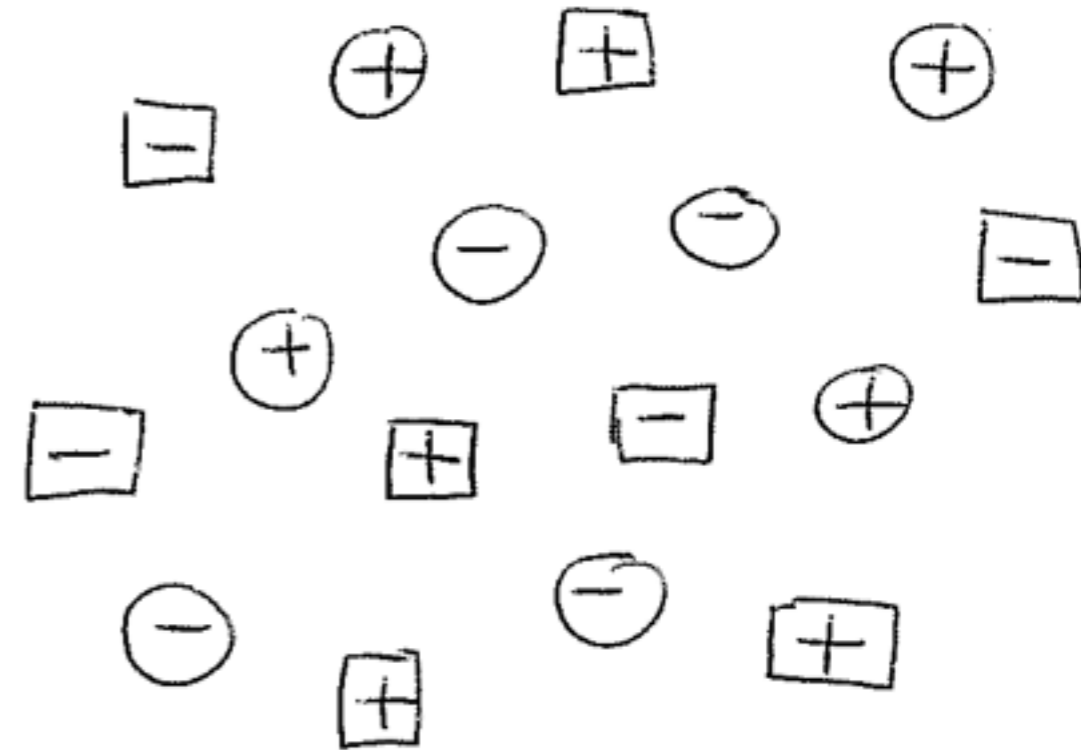
topological objects generating magnetic screening depend on massless fermion content (not usually thought that fermions relevant)

to summarize, in  $\text{QCD}(\text{adj})$ ,

**4d pure YM with “double-trace deformation” at small- $L$**   
Unsal-Yaffe 2008

**$M + KK^* = B$  - magnetic “bions” -**

- carry magnetic charge
- no topological charge (non self-dual)  
(locally 4d nature crucial: no KK in 4d)
- generate “Debye” mass for dual photon



main tools used:

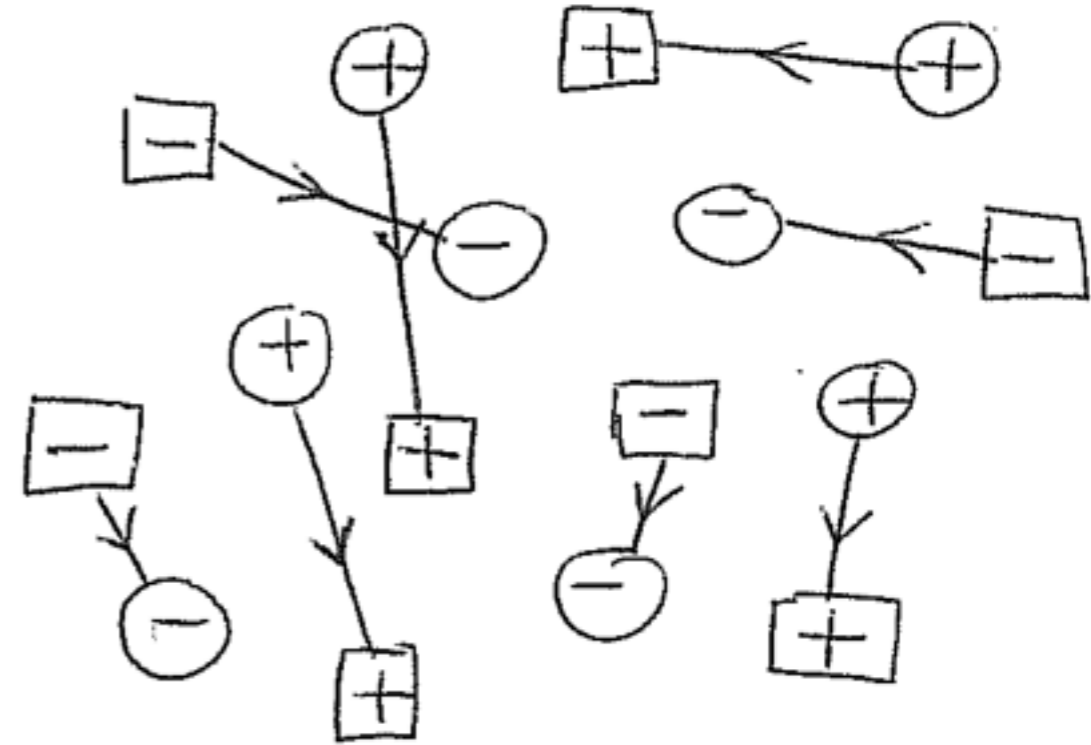
- intertwining of topological shift symmetry & chiral symmetry
- index theorem

topological objects generating magnetic screening depend on massless fermion content (not usually thought that fermions relevant)

to summarize, in QCD(adj),

**M + KK\* = B** - magnetic “bions” -

- carry magnetic charge
- no topological charge (non self-dual)  
(locally 4d nature crucial: no KK in 4d)
- generate “Debye” mass for dual photon



main tools used:

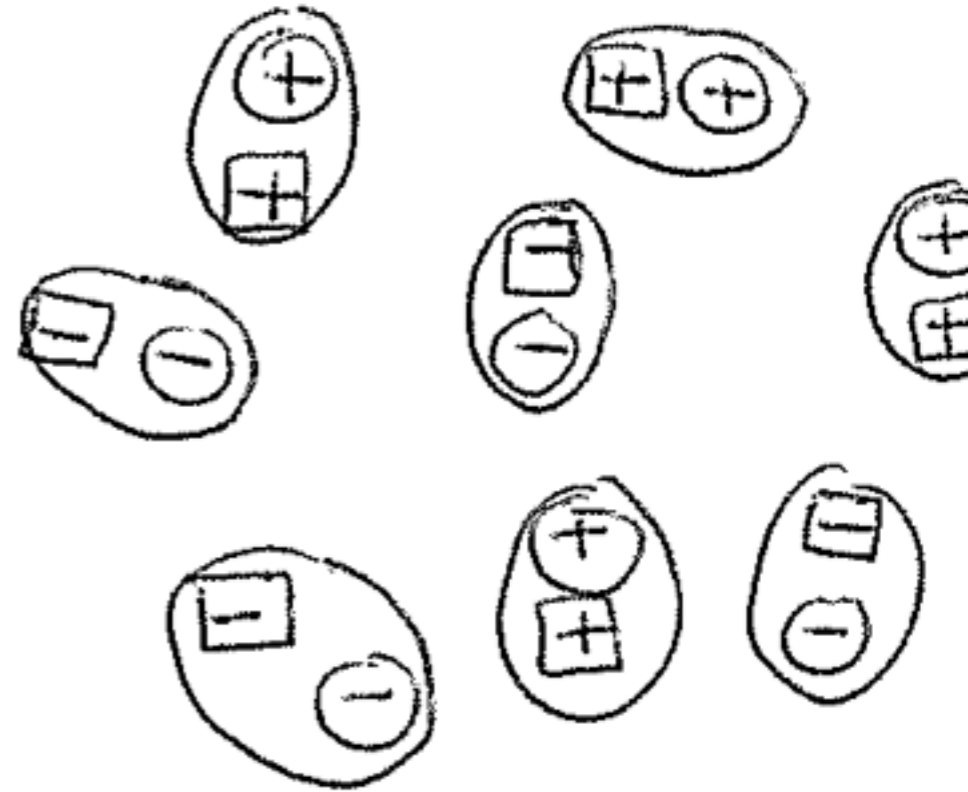
- intertwining of topological shift symmetry & chiral symmetry
- index theorem

topological objects generating magnetic screening depend on massless fermion content (not usually thought that fermions relevant)

to summarize, in QCD(adj),

**M + KK\* = B** - magnetic “bions” -

- carry magnetic charge
- no topological charge (non self-dual)  
(locally 4d nature crucial: no KK in 4d)
- generate “Debye” mass for dual photon



main tools used:

- intertwining of topological shift symmetry & chiral symmetry
- index theorem

topological objects generating magnetic screening depend on massless fermion content (not usually thought that fermions relevant)

## 4d QCD(adj) bion plasma at small-L

Unsal 2007, ....

to summarize, in QCD(adj),

**M + KK\* = B** - magnetic “bions” -

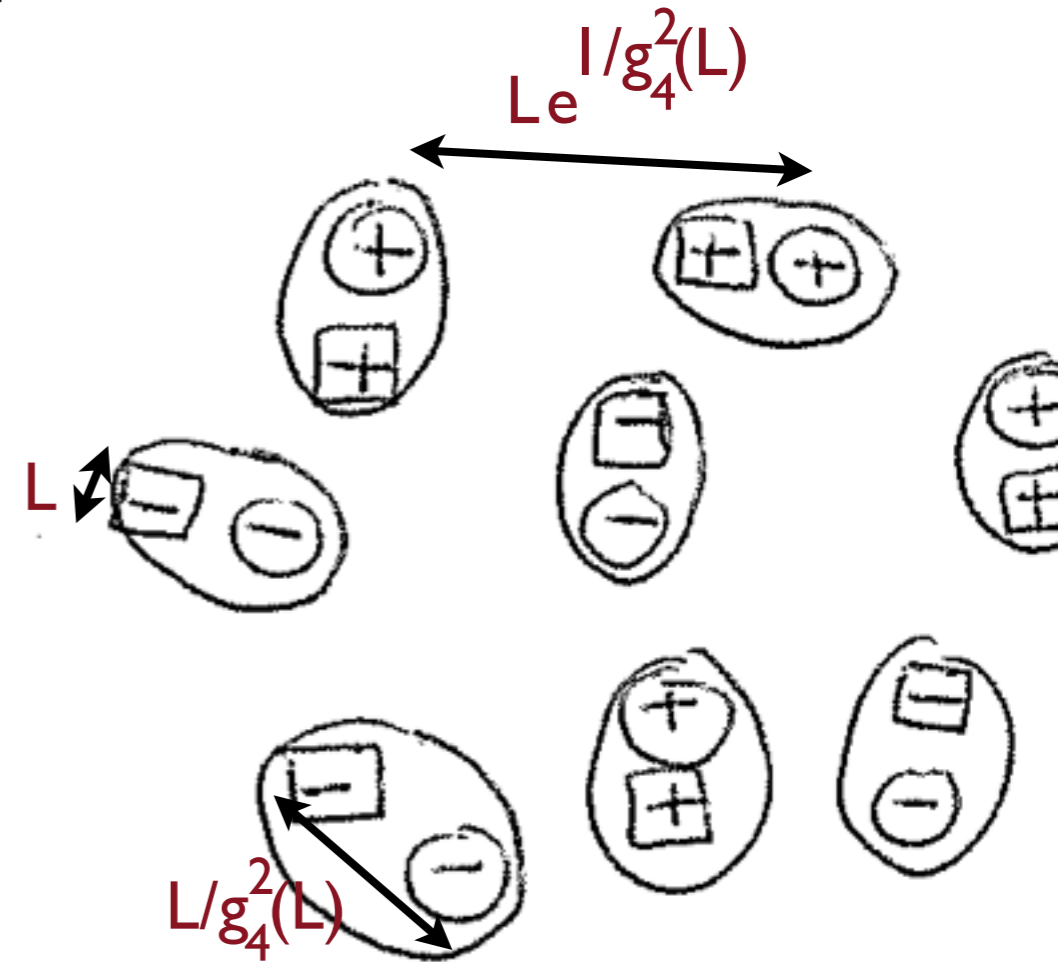
- carry magnetic charge
- no topological charge (non self-dual)  
(locally 4d nature crucial: no KK in 4d)
- generate “Debye” mass for dual photon

main tools used:

- intertwining of topological shift symmetry & chiral symmetry
- index theorem

topological objects generating magnetic screening depend on massless fermion content (not usually thought that fermions relevant)

**using these tools, one can analyze any theory...**



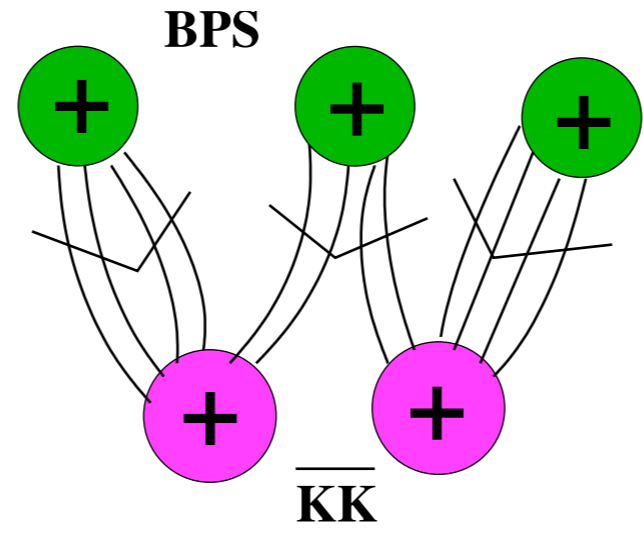


in the last couple of years, many theories have been studied..

vectorlike

chiral

Theory	Confinement mechanism on $\mathbb{R}^3 \times S^1$	Index for monopoles $[\mathcal{I}_1, \mathcal{I}_2, \dots, \mathcal{I}_N]$ Nye-M.Singer '00; PU '08	Index for instanton $I_{inst.} = \sum_{i=1}^N I_i$ Atiyah-Singer	(Mass Gap) <sup>2</sup> units $\sim 1/L^2$
all SU(N)				
YM Y,U '08	monopoles	[0, ..., 0]	0	$e^{-S_0}$
QCD(F) S,U '08	monopoles			$e^{-S_0}$
SYM U '07	magnetic bions			
/QCD(Adj)				
QCD(BF) S,U '08	magnetic bions			
QCD(AS) S,U '08	bions and monopoles			
QCD(S) P,U '09	bions and <u>triplets</u>			
SU(2)YM $I = \frac{3}{2}$ P,U '09	magnetic <u>quintets</u>	[4, 6] SUSY version: ISS(henker) model of SUSY [non-]breaking	10	$e^{-5S_0}$
chiral [SU(N)] <sup>K</sup> S,U '08	magnetic bions	[2, 2, ..., 2]	2N	$e^{-2S_0}$
AS + (N-4)F̄ S,U '08	bions and a monopole	[1, 1, ..., 1, 0, 0] + [0, 0, ..., 0, N-4, 0]	(N-2)AS + (N-4)F̄	$e^{-2S_0}, e^{-S_0}$
S + (N+4)F̄ P,U '09	bions and <u>triplets</u>	[1, 1, ..., 1, 2, 2] + [0, 0, ..., 0, N+4, 0]	(N+2)S + (N+4)F̄	$e^{-2S_0}, e^{-3S_0}$



cartoon of the "magnetic quintet:"  
the leading cause of mass gap for the dual photon in non-SUSY chiral SU(2) with  $I=3/2$

name codes:  
U=Unsal  
S=Shifman  
Y=Yaffe  
P=the speaker

Table 1. Topological excitations which determine the mass gap for gauge fluctuations and chiral symmetry realization in vectorlike and chiral gauge theories on  $\mathbb{R}^3 \times S^1$ . Unless indicated otherwise,

+ SO(N),SP(N) - S.Golkar 0909.2838 (?) Argyres, Unsal - in progress; mixed-/higher-index reps.-P,U 0910.1245

# So, I have now introduced all the key players:

3d Polyakov model & “monopole-instanton”-induced confinement

Polyakov, 1977

“monopole-instantons” on  $R^3 \times S^1$

K. Lee, P. Yi, 1997

P. van Baal, 1998

the relevant index theorem

Nye, Singer, 2000

Unsal, EP, 2008

center-symmetry on  $R^3 \times S^1$  - adjoint fermions or double-trace deformations

Shifman, Unsal, 2008

Unsal, Yaffe, 2008

“bions”, “triplets”, “quintets”... - new non-self-dual topological excitations and confinement

Unsal, 2007

Unsal, EP, 2009



The upshot is the **dual lagrangian of QCD(adj)** on a circle of size L:

$$\frac{g^2(L)}{2L} (\partial\sigma)^2 - \frac{b}{L^3} e^{-2S_0} \cos 2\sigma + i\bar{\lambda}^I \gamma_\mu \partial_\mu \lambda_I + \frac{c}{L^{3-2N_f}} e^{-S_0} \cos \sigma (\det_{I,J} \lambda^I \lambda^J + \text{c.c.})$$

**B, B\***

**M, KK+\***

leading-order perturbation theory; perturbative corrections  $\sim g_4(L)^2$  omitted

$$m_\sigma \sim \frac{1}{L} e^{-S_0} = \frac{1}{L} e^{-\frac{8\pi^2}{N_c g_4^2(L)}}$$

to leading exponential accuracy

$$m_\sigma = \frac{1}{L} (\Lambda L)^{\frac{\beta_0}{N_c}} = \Lambda (\Lambda L)^{\frac{\beta_0}{N_c} - 1} = \Lambda (\Lambda L)^{\frac{8-2N_w}{3}}$$

behavior of mass gap  
as L changes at fixed  $\Lambda$

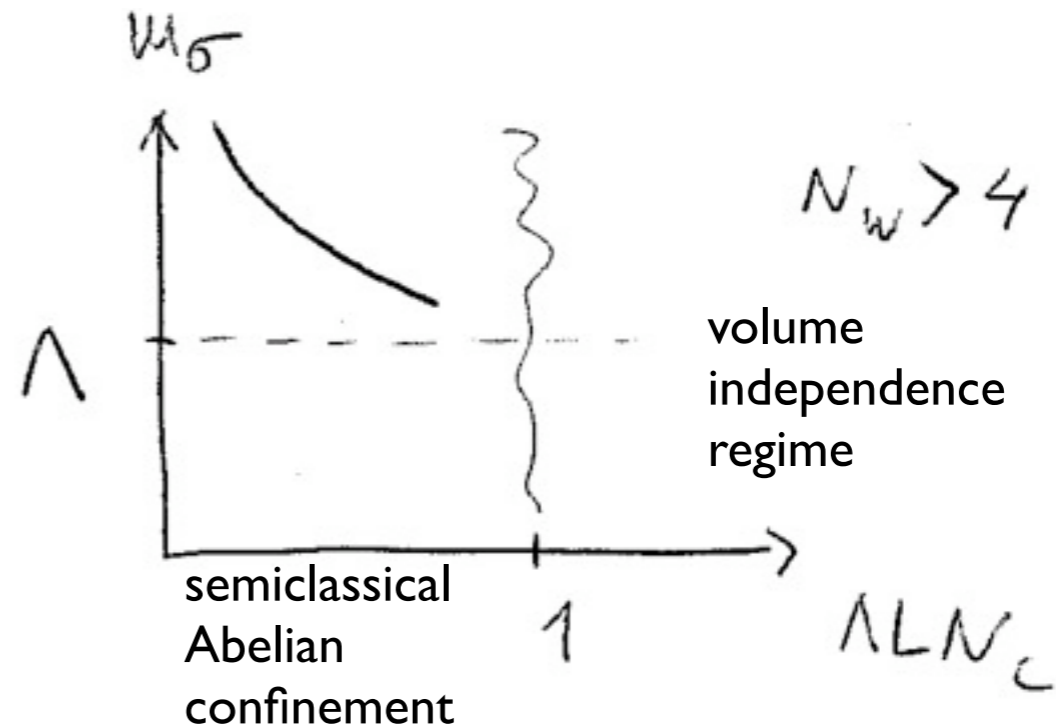
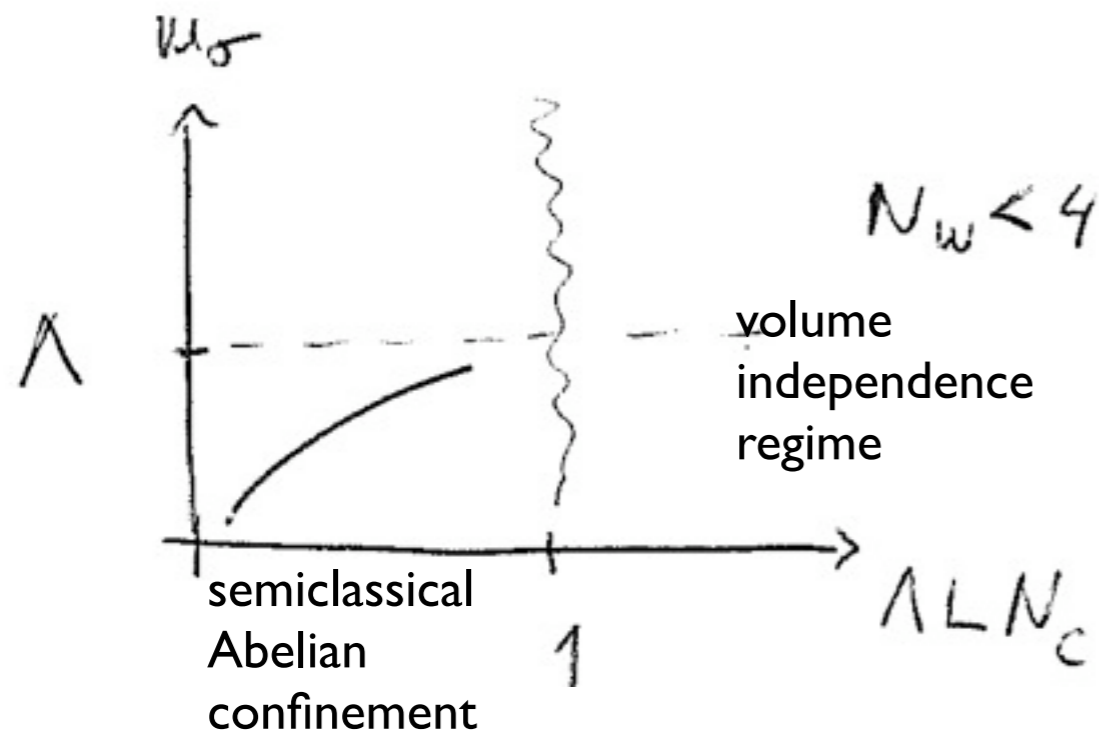
...  $N_w^* = 4$  ?

Staying honest, for now, recall region of validity of semiclassical analysis:

$$\Lambda L \ll 1 \quad \left( N_c \Lambda L \ll 1, \text{ really} \right) \quad \begin{array}{l} \text{as mass of } W \\ \sim 1/(NL) \end{array}$$

$$M_\sigma \sim \Lambda (\Lambda L)^{(8-2N_w)/3}$$

✗ pre-exp. factors (M.Anber, EP, to appear); relevant for 4-adjoint case



**analysis shows that this switch of behavior as number of fermion species is increased occurs in all theories - vectorlike or chiral alike**

in each case we obtain a value for the critical number of "flavors" or "generations"...  $N_f^*$

like  $N_w^* = 4$  for QCD(adj)

Does it tell us anything about  $R^4$  ?

Leaving honesty aside, it is very tempting to continue the lines in their "natural" direction...



... how **dare** you study  
non-protected quantities?

It is very tempting to continue the lines in their “natural” direction;  
not a defensible position, but hardly unique...

Some circumstantial “inspirational evidence” in earlier “twisted-EK-type” work:

M. Perez, A. Gonzalez-Arroyo '93

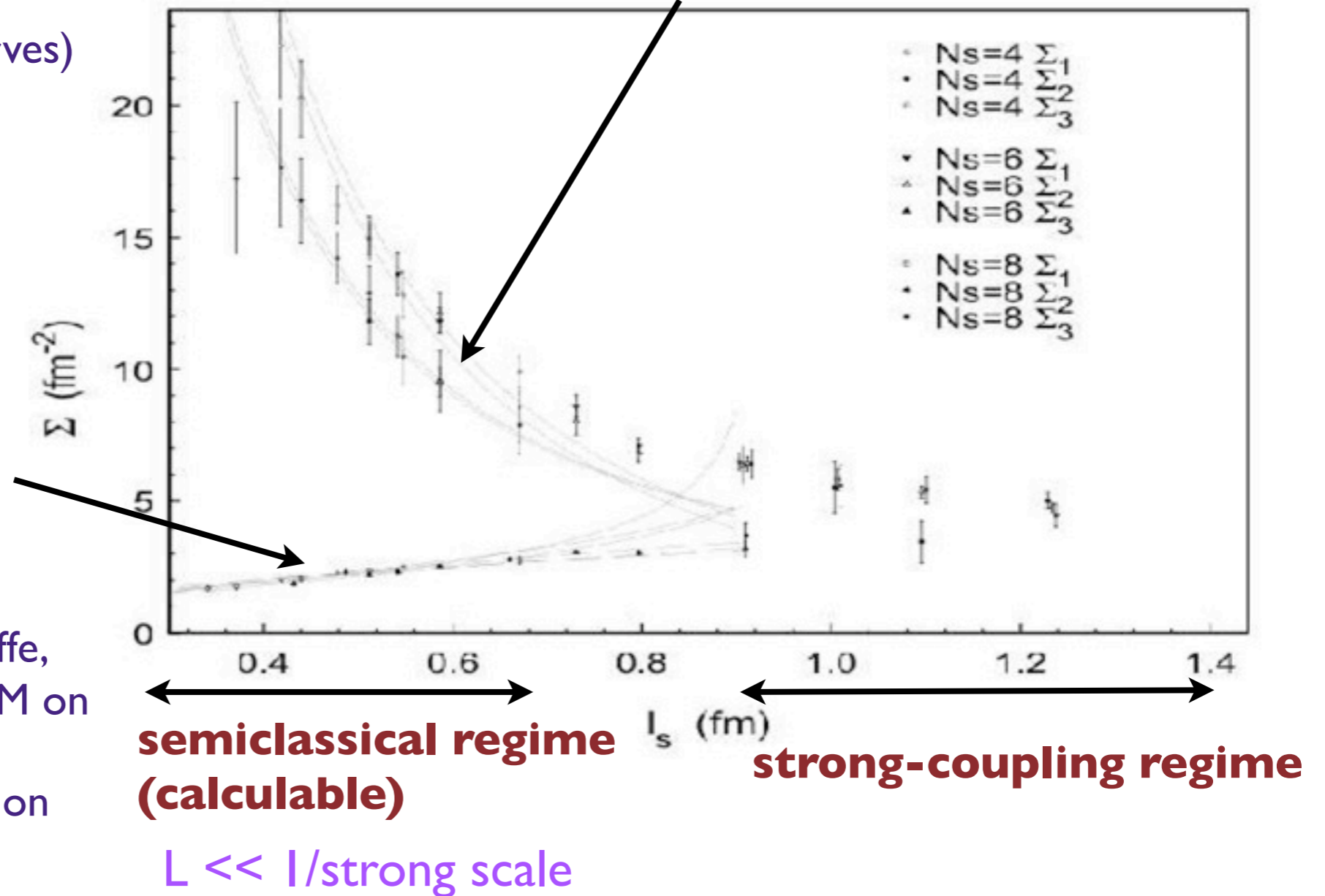
**pure YM - no fermions** - on (small)  $T^3$ , twisted b.c. (center-symmetric!)

semiclassical calculation (curves)  
vs  
lattice Monte Carlo (points)

string tension  
 $\sim (\wedge L)^{5/6}$

**same** L-scaling as our (U.+Yaffe, actually) prediction for pure YM on a circle - since also due to fractional instantons, but now on  $T^3$ !

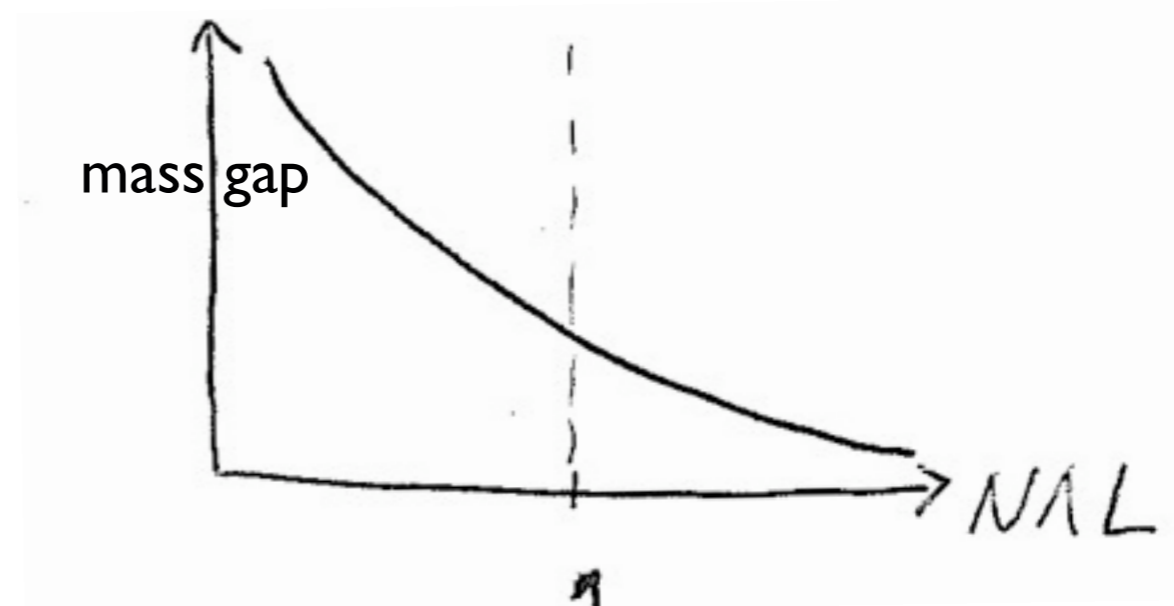
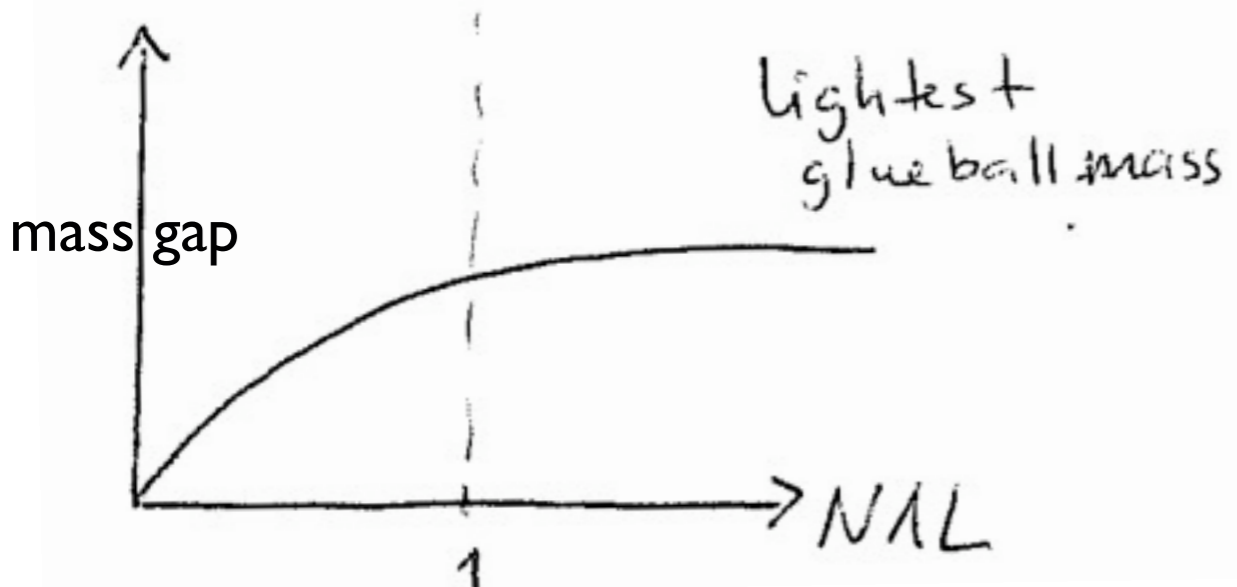
$$W\text{-mass}^2 \sim 1/L^2$$



# Back to SU(N) with Weyl adjoints [no deformation needed]:

less than 4 Weyl adjoints (and =4, Anber, EP, 5/2011)

> Weyl adjoints  
mass gap = 0 at infinite L: conformal?



Note: perhaps defensible for 5 adjoints ~ "Banks-Zaks-ish"...

taken from Rytov, Sanino:

"experiment"

our "estimate"	gap equation	beta function $\gamma=2/l$	AF lost	
any $N$	4	4.15	2.75/3.66	5.5

**4 ?**

all theoretical "determinations" rely on un-controlled extrapolations  
hence, "error bars" unknown

Catterall et al;  
del Debbio, et al;  
Hietanen et al.  
all 2007-

lattice will eventually tell us whether curves really continue like this

# Conclusions I:

Compactifying 4d gauge theories on a small circle is a “deformation” where nonperturbative dynamics is under control - dynamics as “friendly” (OK, “almost”...) as in SUSY, e.g. Seiberg-Witten. (regime of validity:  $\Lambda_{LN} \ll I$  complimentary to EK:  $\Lambda_{LN} \gg I$ )

Confinement is due to various “oddball” topological excitations, in most theories non-self-dual.

Polyakov’s “Debye screening” mechanism works on  $R^3 \times S^1$  also with massless fermions, contrary to what many thought - KK monopoles and index theorem-crucial ingredients of analysis.

Precise nature - monopoles, bions, triplets, or quintets - depends on the light fermion content of the theory.

U,P; 0812.2085, 0906.5156

- the above is more or less the moral of my talk -



# Conclusions II:

Using these tools, we also gave “estimates” of conformal window boundary in vectorlike and chiral gauge theories (OK with “experiment” when available).

Conformality tied to relevance vs irrelevance of topological excitations. Perhaps of interest especially in theories where chiral symmetries do not break.

Unsal,EP; 0906.5156

Didn't have time to explain how:

In mixed-rep. theories with anomaly-free chiral global  $U(1)$ , chiral symmetry broken at any radius:

-at small- $L$  chiral symmetry breaking due to disorder operator vev  
(correct interpretation of statements in SUSY literature - Davies et al, late '90s)

-at large- $L$  due to fermion bilinear (large- $L$ , as usual, not theoretically controllable, but likely true...)

Unsal,EP; 0910.1245

# Conclusions III:

More things I didn't have time to talk about:

Circle compactification gives another calculable deformation of SUSY theories - not yet fully explored - in  $I=3/2$   $SU(2)$  Intriligator-Seiberg-Shenker model we argued that theory conformal, rather than SUSY-breaking

Unsal, EP; 0905.0634; agrees with different arguments of Shifman, Vainshtein '98; Intriligator '05; more recent: Vartanov '10 (index?)

High-T deconfinement in “deformed” YM - competition of electric and magnetic excitations near  $T_{\text{crit}}$ . - lead to theories with order and disorder variables

Simic, Unsal 2010 (earlier pheno. models of Liao/Shuryak 2006) ...

Stimulated by the observation that in  $N=1$  SYM M&KK-induced potential fixes center symmetric ground state, Diakonov (2004-) has also made the point that M&KK also crucial near  $T_{\text{crit}}$  in pure (“undeformed”) YM - strictly not calculable; contributions not accounted for by Gross, Pisarsky, Yaffe

...also true in “undeformed” theories with fermions Unsal, EP, in progress



# Conclusions/Questions IV:

Now, clearly,

on  $\mathbb{R}^3 \times S^1$  we only see the shadow of the real thing...

...except in special cases, chiral symmetry breaking still uncontrolled

can only note that monopole multifermion operators are clearly more relevant than instanton ones (fewer zero modes).

Natural question to ask is how this connects to 4d?

Is it so crazy to expect “relevance vs. irrelevance” (with changing  $N_f$ ) of topological excitations also in  $\mathbb{R}^4$ ?

# Conclusions/Questions V:

**Lattice studies in pure YM** (early ref.: Kronfeld, Schierholz, Wiese, 1987) **have found that confinement appears to be due to various topological excitations- center vortices, monopoles** - these are 't Hooft's "transient particles" (1978) that are revealed to us in wisely chosen gauges; the deconfinement transition is thought to be associated with them becoming irrelevant. Large body of literature, mostly pure YM.

To expect that massless fermions would affect the nature of topological excitations also on  $R^4$  is thus quite natural.

What is harder (for me?) is how to make this precise on  $R^4$ .

**confinement**

Lesieur (1987) said that “~~turbulence~~ is a dangerous topic which is at the origin of serious fights in scientific meetings since it represents extremely different points of view, all of which have in common their complexity, as well as an inability to solve the problem. It is even difficult to agree on what exactly is the problem to be solved ”

Clearly, it would be nice to get a better understanding...

While waiting for this to happen ... back to SUSY?

- theorists' “experiment”

# Conclusions/Questions VI:

... back to  
SUSY?

We argued that “bions” are responsible for confinement in  $N=1$  SYM at small  $L$  (a particular case of our Weyl adjoint theory).

This remains true if  $N=1$  obtained from  $N=2$  by soft breaking  
Monopole and dyon condensation is responsible for  
confinement in  $N=2$  softly broken to  $N=1$  at large  $L$  (Seiberg, Witten '94)

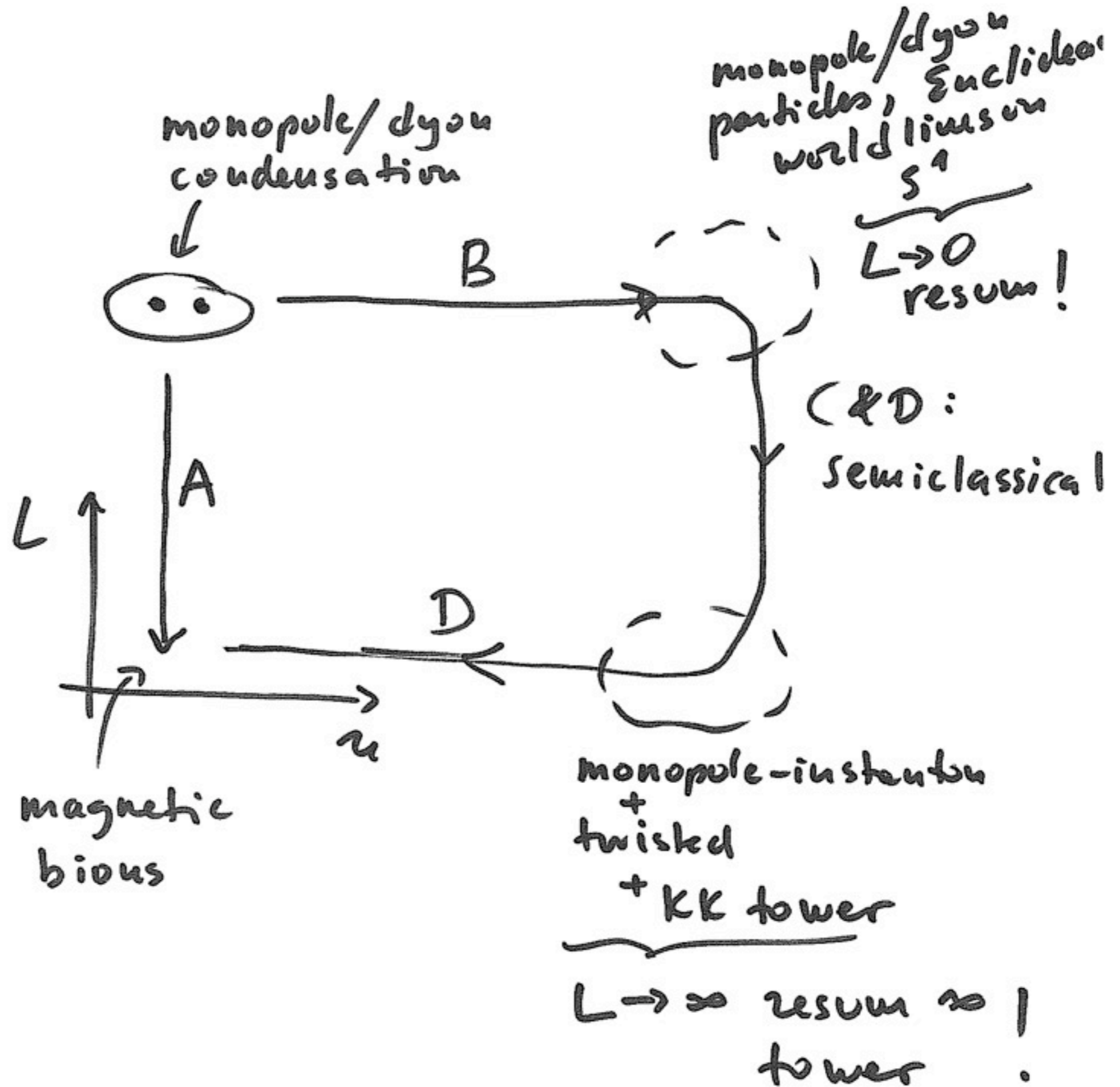
So, in different regimes we have different pictures of confinement  
in  $N=1$  SYM (obtained as softly broken  $N=2$ ).

Do they connect in an interesting way?

Unsal, EP - to appear

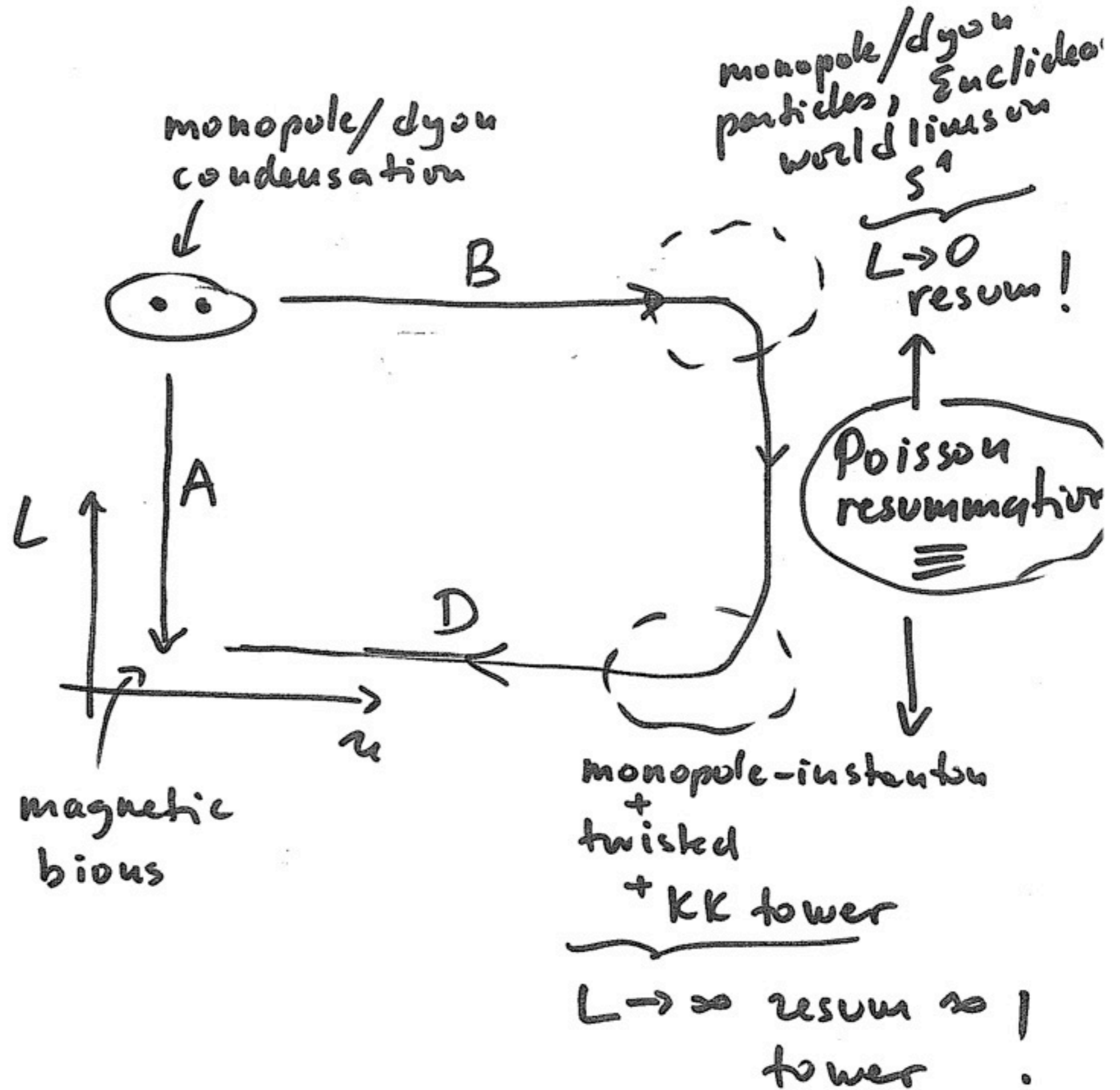
# Preview:

... back to SUSY?



# Preview:

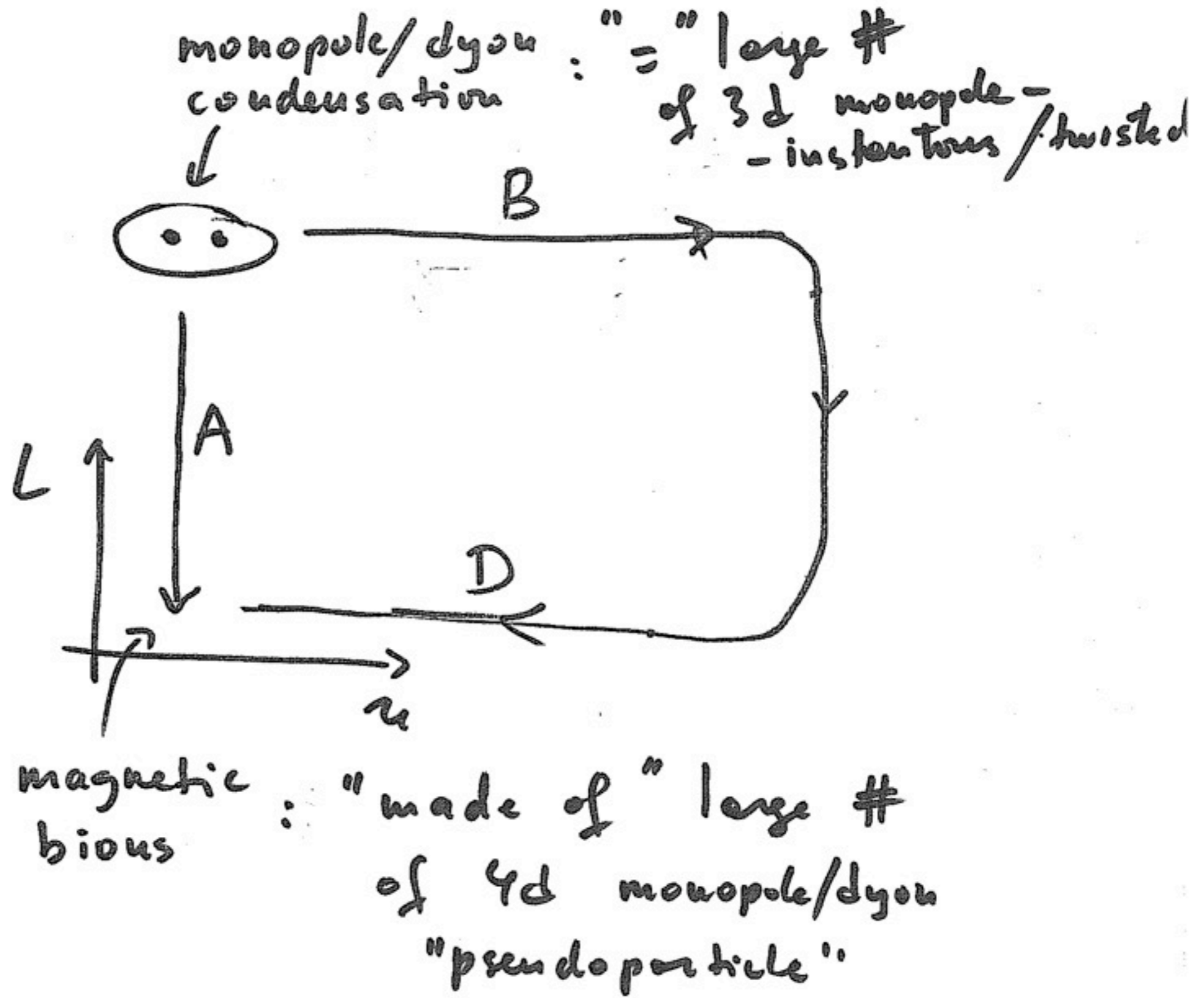
... back to SUSY?





# Preview:

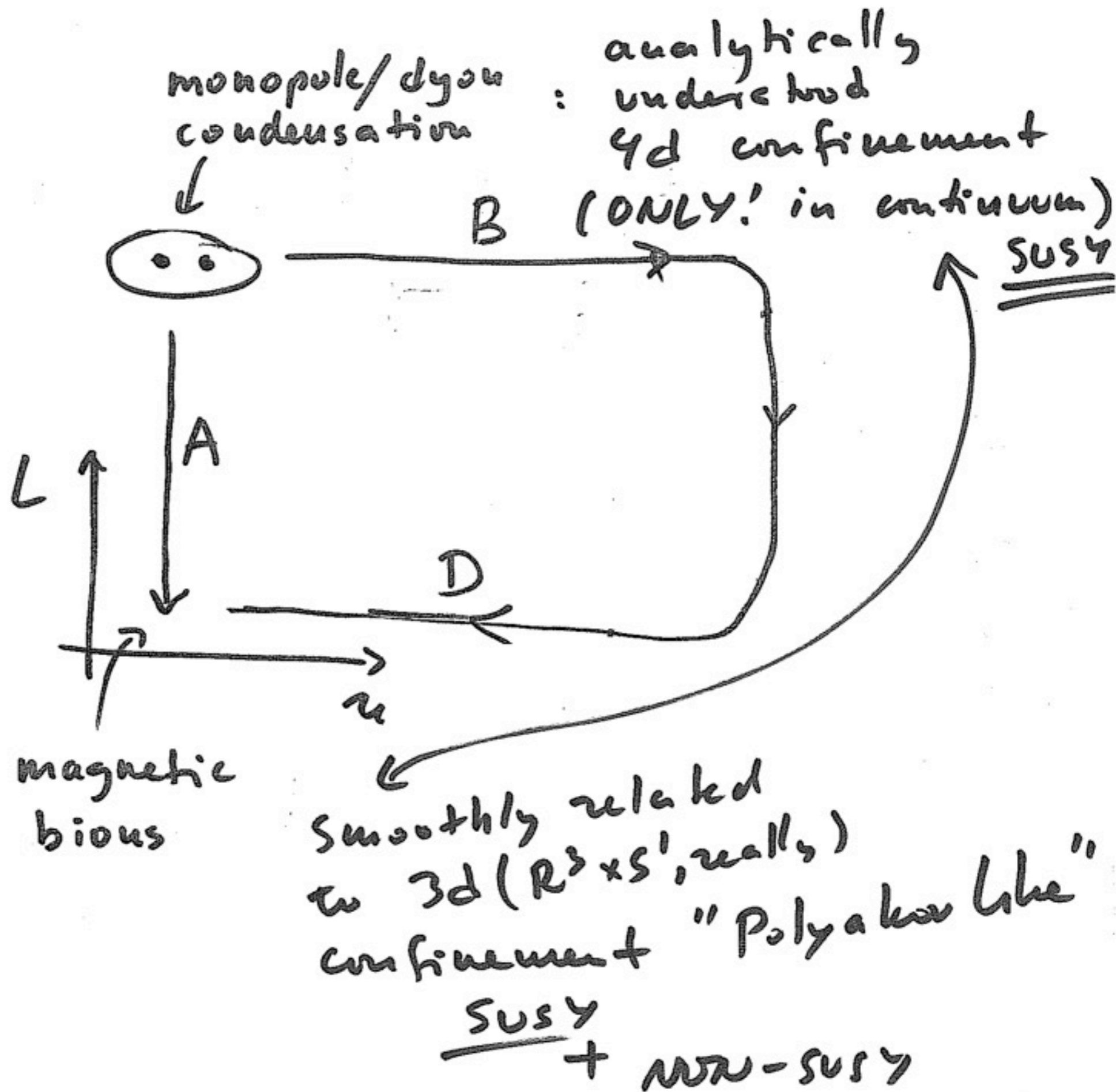
... back to  
SUSY?



# Preview:

... back to  
SUSY?

Serberg-Witten





# problem for the future...

... repeat for non-susy QCD(adj)...